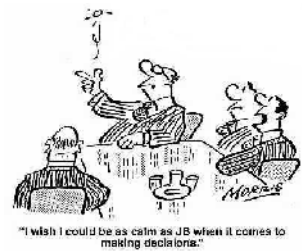


Information theory

Concept of information (through an example)

Information content of data streams, information rate

Entropy and information



Concept of information (through an example)

Intuitive concept:

"informare" (Lat.): „to give form to the mind", or to teach, instruct somebody

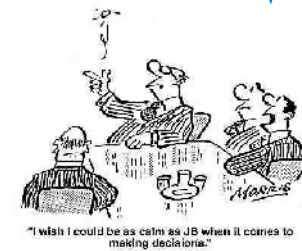
Thus: „We can only change our minds, when we receive **information**."

Or:

„a type of input to an organism or designed device" : Ecology, sensory input
(Smell of food → movement of animal)

Or:

„information is any type of pattern that influences the formation or transformation of other patterns."
(RNA sequence → Protein structure)



Transmitting information – information content

Event and information:
What happened?

„Information content" of events:

- It is light traffic this morning
- It will rain tomorrow.
- I have won the lottery!

How can we *encode* information?



Transmitting information – information **coding**

in general

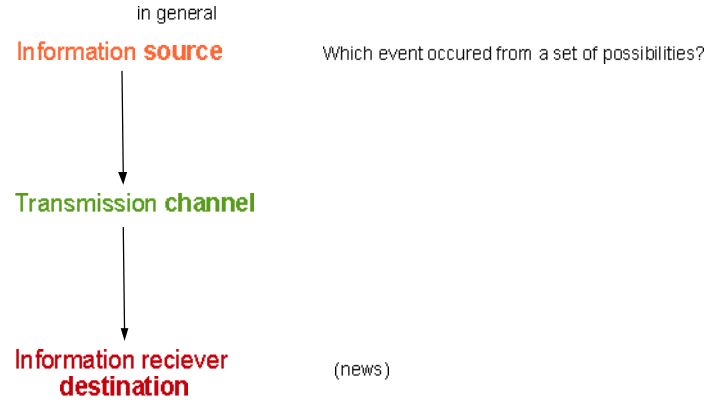
Information source

Which event occurred from a set of possibilities?

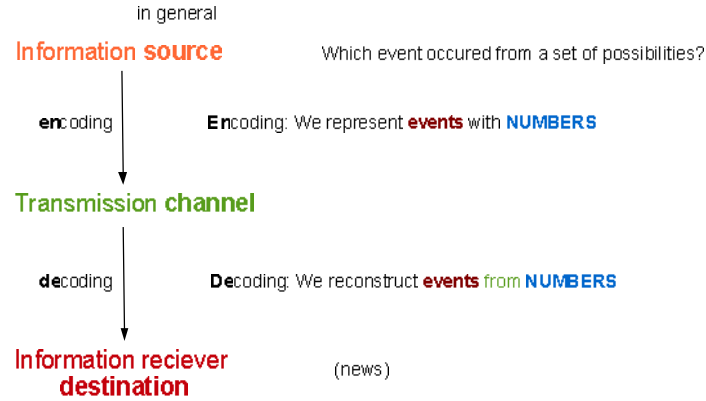
**Information receiver
destination**

(news)

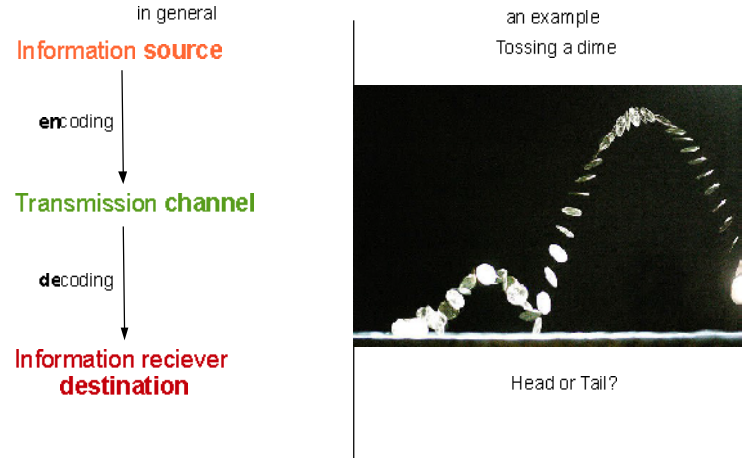
Transmitting information – information coding



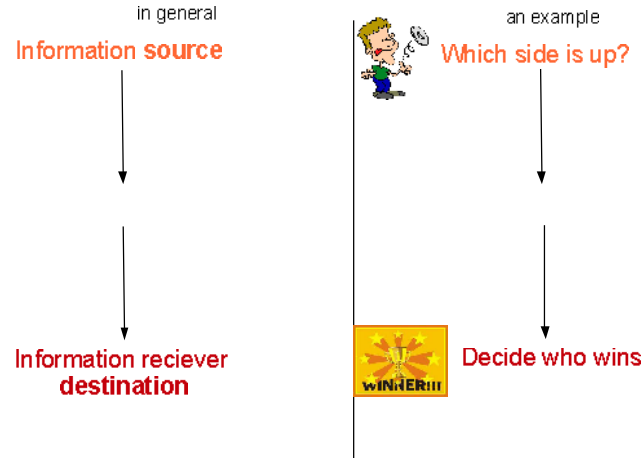
Transmitting information – information coding



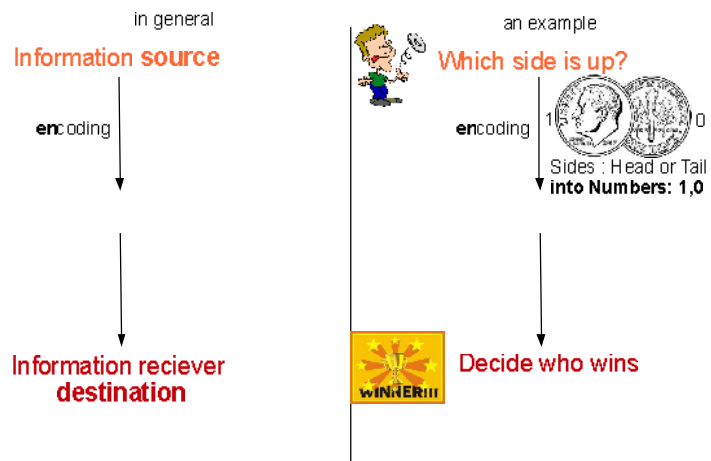
Transmitting information – information coding



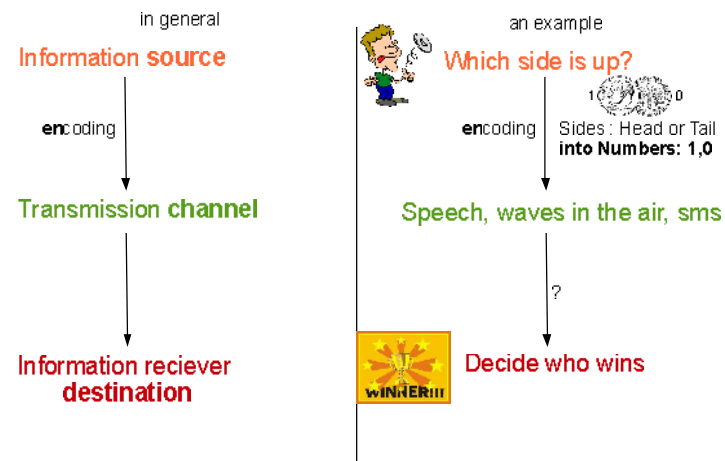
Transmitting information – information coding



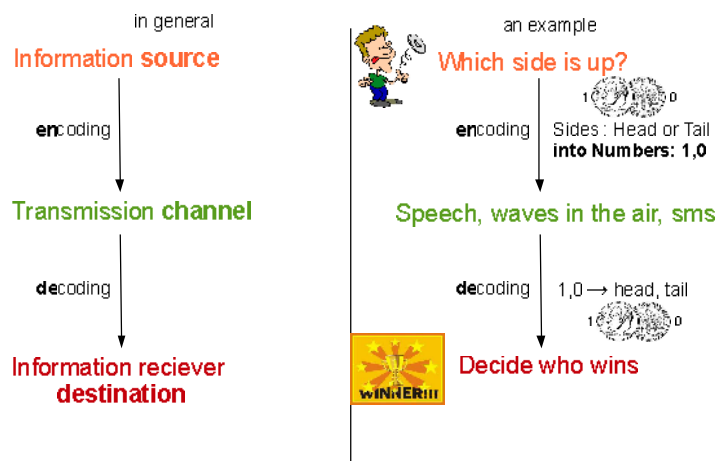
Transmitting information – information coding



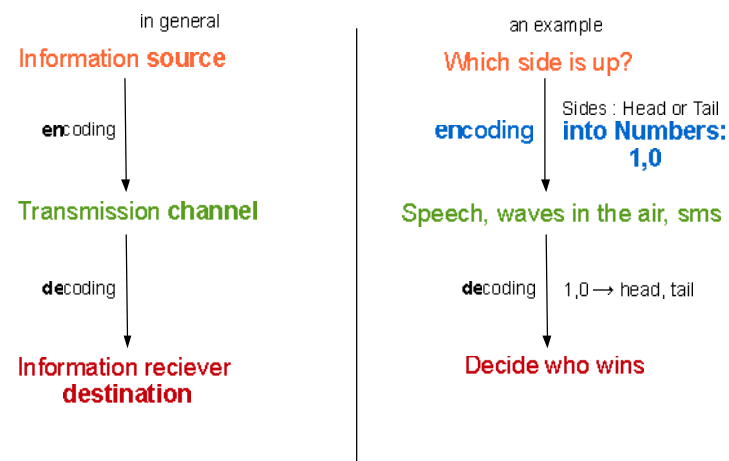
Transmitting information – information coding




Transmitting information – information coding






Transmitting information – information coding








Transmitting information – digital coding



Event		Number	Digital code
	:	1	1
	:	0	0



	:	1	001
	:	2	010
	:	3	011
	:	4	100
	:	5	101
	:	6	110

2-base numbers: example: $101_2 = 1 \cdot 2^2 + 0 \cdot 2^1 + 1 \cdot 2^0 = 5_{10}$
bit = „binary digit“

Transmitting information – digital coding

How many **bits** we need?

Bit: **binary digit**



0 or 1






Transmitting information – digital coding

How many **bits** we need?

Bit: **binary digit**

0 or 1

Event	Number	Digital code	Bits needed
	: 1	1	1
	: 0	0	

	:	1	001	3
	:	2	010	
	:	3	011	
	:	4	100	
	:	5	101	
	:	6	110	

Transmitting information – coding **efficiency**

Event	Number	Digital code	Bits needed	Maximum number of events
	: 1	1	1	2
	: 0	0		

	:	1	001	3	8
	:	2	010		
	:	3	011		
	:	4	100		
	:	5	101		
	:	6	110		
	:	7	111		
	:	0	000		

Here we only have 6 events, but could encode 8 in 3 bits!

Transmitting information – coding *efficiency*





Event	Number	Digital code	Bits needed	Maximum number of events
	: 1	001	3	8
	: 2	010		
	: 3	011		
	: 4	100		
	: 5	101		
	: 6	110		
	7	111		
	0	000		

Here we only have 6 events,
but could encode 8 in 3 bits!

A better encoding:

$\{X_1 X_2 X_3\}$ group 3 events together
Classic coding
3x3 bits = **9** bits

Transmitting information – coding *efficiency*

Event	Number	Digital code	Bits needed	Maximum number of events
	: 1	001	3	8
	: 2	010		
	: 3	011		
	: 4	100		
	: 5	101		
	: 6	110		
	7	111		
	0	000		

Here we only have 6 events,
but could encode 8 in 3 bits!

A better encoding:

$\{X_1 X_2 X_3\}$ group 3 events together : number of possibilities = $6^3 = 216$
Classic coding
3x3 bits = **9** bits
 $\xrightarrow{\text{1 bit less!}}$ $256 = 2^8$
 It is possible to encode 3 events in **8** bits

Transmitting information – information content

Information content = how many bits do we *minimally* need to encode

(This also gives the encoding efficiency limit)

Transmitting information – information content

Information content = how many bits do we *minimally* need to encode

(This also gives the encoding efficiency limit)

How does this connect with intuitive information content?

-I have tossed a dime. Head or Tail?

-It is light traffic this morning

-It will rain tomorrow.

-I have won the lottery!

Transmitting information – information content

Information content = how many bits do we *minimally* need to encode
(This also gives the encoding efficiency limit)

How does this connect with intuitive information content?

-I have tossed a dime. Head or Tail?	p $\frac{1}{2}$	q $\frac{1}{2}$
-It is light traffic this morning	$\frac{1}{4}$	$\frac{3}{4}$
-It will rain tomorrow.	1%	99%
-I have won the lottery!	1/13,983,816	0.999....

Transmitting information – information content

Information content = how many bits do we *minimally* need to encode
(This also gives the encoding efficiency limit)

How does this connect with intuitive information content?

-I have tossed a dime. Head or Tail?	p $\frac{1}{2}$	q $\frac{1}{2}$	No idea
-It is light traffic this morning	$\frac{1}{4}$	$\frac{3}{4}$	
-It will rain tomorrow.	1%	99%	
-I have won the lottery!	1/13,983,816	0.999....	Probably no win

Transmitting information – information content

Information content = how many bits do we *minimally* need to encode
(This also gives the encoding efficiency limit)







How does this connect with intuitive information content?

-I have tossed a dime. Head or Tail?	p $\frac{1}{2}$	q $\frac{1}{2}$	No idea
-It is light traffic this morning	$\frac{1}{4}$	$\frac{3}{4}$	
-It will rain tomorrow.	1%	99%	
-I have won the lottery!	1/13,983,816	0.999....	Probably no win

Gained information is inverse proportional to the probability (p)







Transmitting information – measure of information

Fair p probability code example bits needed p*(number of bits needed)

	1/6	0,17	000	3	0,5
	1/6	0,17	001	3	0,5
	1/6	0,17	010	3	0,5
	1/6	0,17	011	3	0,5
	1/6	0,17	100	3	0,5
	1/6	0,17	101	3	0,5

Expected number of bits needed: 3

Loaded P_i We can encode more efficiently here:

	1/2	0,5	0	1	0,5
	1/4	0,25	10	2	0,5
	1/8	0,13	110	3	0,38
	1/16	0,06	1110	4	0,25
	1/32	0,03	11110	5	0,16
	1/32	0,03	11111	5	0,16

Expected number of bits needed: 1,94

Transmitting information – measure of information

Fair	p	probability	code example	bits needed	p*(number of bits needed)
	1/6	0,1/	UUU	3	0,5
	1/6	0,1/	UU1	3	0,5
	1/6	0,1/	U1U	3	0,5
	1/6	0,17	011	3	0,5
	1/6	0,17	100	3	0,5
	1/6	0,17	101	3	0,5

Here we do NOT
Expect anything

Maximal uncertainty

Expected number of bits needed: 3

Loaded Gained information is proportional to the number of bits needed

	1/2	0,5	U	1	0,5
	1/4	0,25	1U	2	0,5
	1/8	0,13	110	3	0,38
	1/16	0,06	111U	4	0,25
	1/32	0,03	1111U	5	0,16
	1/32	0,03	11111	5	0,16

Here we *expect*
„one“ (most probable)

On average
we *learn less*

Expected number of bits needed: 1,94

Here the information content is less.

Transmitting information – measure of information

Shannon : define measure as: $H = p \cdot \log_2 \left(\frac{1}{p} \right)$

\log_2 : 2-base logarithm

Examples:

$\log_2(2) = 1$

$\log_2(4) = 2$

$\log_2(8) = 3$

Transmitting information – measure of information

How should be information content **mathematically** specified? (Shannon 1948)

1.: H should be *continuous* in the p_i (small change in $p_i \rightarrow$ small change in H)

2.: *Unlikely events carry a high information content*

H should be in some way inverse proportional to p

If all the p_i are equal, ($p_i = 1/n$)

then H should be a monotonic increasing function of n.

With equally likely events there is more choice, or uncertainty, when there are more possible events.

3.: *Branching Choices*:

If a choice can be broken down into two successive choices,
the original H should be the weighted sum of the individual values of H.

$$H\left(\frac{1}{2}, \frac{1}{3}, \frac{1}{6}\right) = H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{1}{2} \cdot H\left(\frac{2}{3}, \frac{1}{3}\right)$$

Transmitting information – measure of information

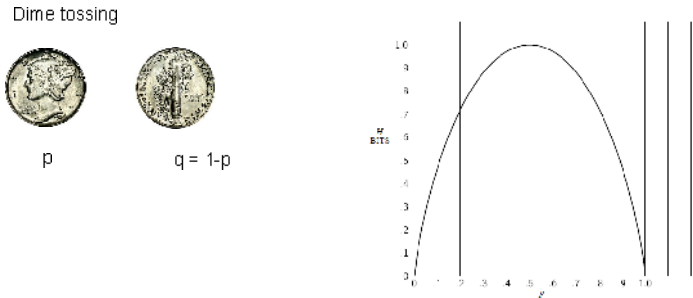
Shannon $H = p \cdot \log_2 \left(\frac{1}{p} \right)$ [bit]

If we have multiple events in the set, then it is a sum for every possible event:

$$H = \sum_i p_i \cdot \log_2 \left(\frac{1}{p_i} \right) = \sum_i -p_i \cdot \log_2 p_i$$

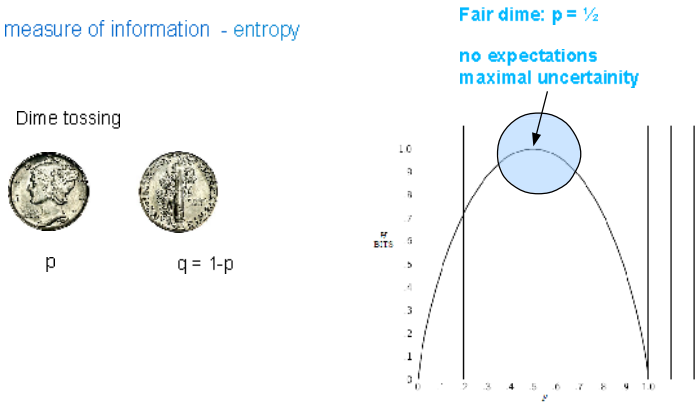
other log-bases:
 $\log_e(n)$: [nat]
 $\log_{10}(n)$: [ban]

measure of information - entropy



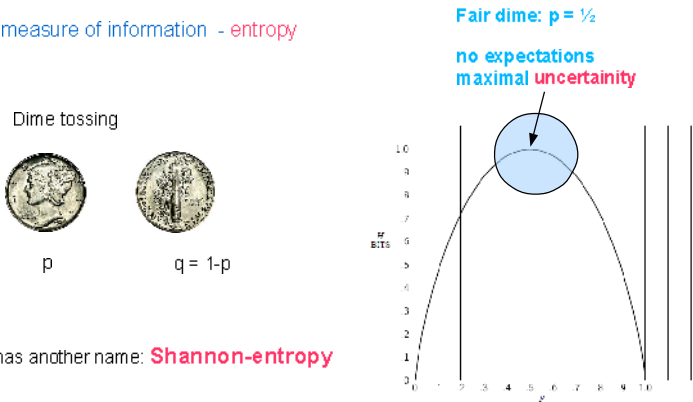
$$H = \sum_i -p_i \log_2 p_i = -p \log_2 p - q \log_2 q = -p \log_2 p - (1-p) \log_2 (1-p)$$

measure of information - entropy

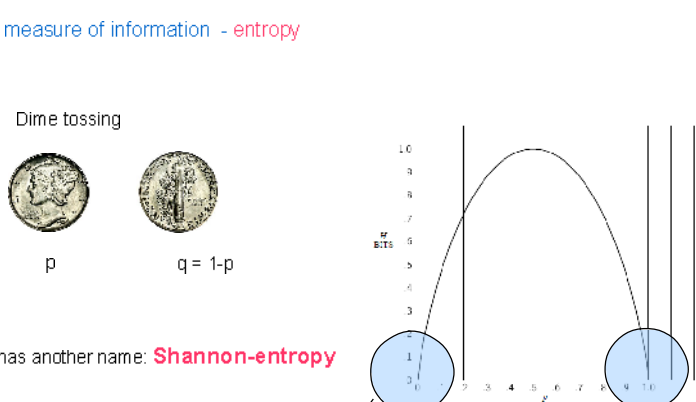


$$H = \sum_i -p_i \log_2 p_i = -p \log_2 p - q \log_2 q = -p \log_2 p - (1-p) \log_2 (1-p)$$

measure of information - entropy



measure of information - entropy



H has another name: **Shannon-entropy**

H vanishes ONLY if we are absolutely certain of the outcome: $p=0$ or $p=1$

Physical entropy (S) vanishes ONLY if there is exactly 1 microstate

H has a **maximum** when we know nothing in advance (all p_i -s are equal, $p_i = 1/n$)

Expected outcomes are maximized: each state is equally probable

Physical entropy (S) has a maximum if the number of microstates is maximal.

FOSTER CITY EYE CARE - OPTOMETRIC CENTER									
PATIENT HISTORY QUESTIONNAIRE									
Last Name	First Name				Middle Name		Suffix		
Address	City				State		Zip		
Phone (H/F)	PH				Cell				
DOB	Date of Birth				Age				
Occupation	Comments: Please Print								
Employer									
Auto/other vehicle	Licensed				Operator				
Third Party Car Ins	Filed				Policy #				
Health or Vision									
Do you smoke? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No									
MEDICAL INFORMATION									
What is your general health?									
Do you have any problems with any of these organs? (check all that apply)	Heart				Lungs				
Arteriosclerosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Gastrointestinal	<input type="checkbox"/> Y	<input type="checkbox"/> N	Diabetes	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Endocrine/Thyroid	<input type="checkbox"/> Y	<input type="checkbox"/> N	Genitourinary	<input type="checkbox"/> Y	<input type="checkbox"/> N	Endocrine (diabetes)	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Cardiovascular	<input type="checkbox"/> Y	<input type="checkbox"/> N	Musculoskeletal	<input type="checkbox"/> Y	<input type="checkbox"/> N	Pharmacological	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Respiratory	<input type="checkbox"/> Y	<input type="checkbox"/> N	Immunological (AIDS)	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other medical problems			
Please explain									
Please answer all the questions									
Diabetes	<input type="checkbox"/> Y	<input type="checkbox"/> N	Typhoid	<input type="checkbox"/> Y	<input type="checkbox"/> N	Heart disease	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Arteriosclerosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Mononucleosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	What hospital	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Endocrine/Thyroid	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other surgery	<input type="checkbox"/> Y	<input type="checkbox"/> N	ALLERGIES	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Cardiovascular	<input type="checkbox"/> Y	<input type="checkbox"/> N							
Respiratory	<input type="checkbox"/> Y	<input type="checkbox"/> N							
Please write down any other problems									
Diabetes	<input type="checkbox"/> Y	<input type="checkbox"/> N	Heart	<input type="checkbox"/> Y	<input type="checkbox"/> N	What	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Arteriosclerosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Mononucleosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other eye conditions	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Endocrine/Thyroid	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other surgery	<input type="checkbox"/> Y	<input type="checkbox"/> N	Date of last visit	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Cardiovascular	<input type="checkbox"/> Y	<input type="checkbox"/> N							
Respiratory	<input type="checkbox"/> Y	<input type="checkbox"/> N							
FAMILY HISTORY									
Do you have any relatives with eye problems?	<input type="checkbox"/> Y	<input type="checkbox"/> N	Diabetes	<input type="checkbox"/> Y	<input type="checkbox"/> N	Heart disease	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Diabetes	<input type="checkbox"/> Y	<input type="checkbox"/> N	Mononucleosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other eye conditions	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Arteriosclerosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other surgery	<input type="checkbox"/> Y	<input type="checkbox"/> N				
Endocrine/Thyroid	<input type="checkbox"/> Y	<input type="checkbox"/> N							
Cardiovascular	<input type="checkbox"/> Y	<input type="checkbox"/> N							
Respiratory	<input type="checkbox"/> Y	<input type="checkbox"/> N							
PERSONAL EYE INFORMATION									
Have you had any eye operations?	<input type="checkbox"/> Y	<input type="checkbox"/> N	What	<input type="checkbox"/> Y	<input type="checkbox"/> N				
Do you have any eye problems?	<input type="checkbox"/> Y	<input type="checkbox"/> N	Diabetes	<input type="checkbox"/> Y	<input type="checkbox"/> N	Heart disease	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Do you wear glasses?	<input type="checkbox"/> Y	<input type="checkbox"/> N	Mononucleosis	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other eye conditions	<input type="checkbox"/> Y	<input type="checkbox"/> N	
Do you wear contacts?	<input type="checkbox"/> Y	<input type="checkbox"/> N	Other surgery	<input type="checkbox"/> Y	<input type="checkbox"/> N				
Additional notes	<input type="checkbox"/> Y	<input type="checkbox"/> N							
Do you have any other eye problems?									
Do you have any other eye problems?									
Do you have any other eye problems?									

Instead of paper, one could start typing the data into a spreadsheet (Excel, OpenOffice, etc)

Databases – storing information

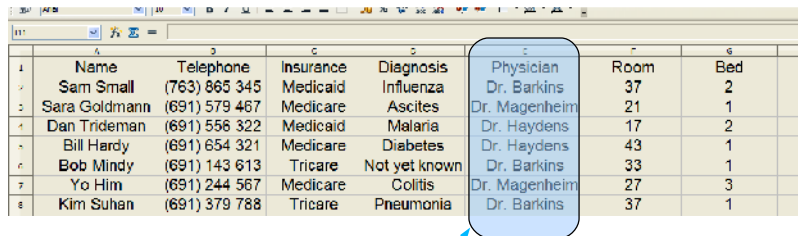
Table : ordered set of data (information)

	A	B	C	D	E	F	G
	Name	Telephone	Insurance	Diagnosis	Physician	Room	Bed
1	Sam Small	(783) 865 345	Medicaid	Influenza	Dr. Barkins	37	2
2	Sara Goldmann	(691) 579 467	Medicare	Ascites	Dr. Magenheim	21	1
3	Dan Trideman	(911) 556 322	Medicaid	Malaria	Dr. Haydens	17	2
4	Bill Hardy	(691) 654 321	Medicare	Diabetes	Dr. Haydens	43	1
5	Bob Mindy	(691) 143 613	Tricare	Not yet known	Dr. Barkins	33	1
6	Yo Him	(691) 244 567	Medicare	Colitis	Dr. Magenheim	27	3
7	Kim Suhan	(691) 379 788	Tricare	Pneumonia	Dr. Barkins	37	1

	A	B	C	D	E	F	G
	Name	Telephone	Insurance	Diagnosis	Physician	Room	Bed
2	Sam Small	(763) 865 345	Medicaid	Influenza	Dr. Barkins	37	2
3	Sara Goldmann	(691) 579 467	Medicare	Ascites	Dr. Magenheim	21	1
4	Dan Trideman	(691) 556 322	Medicaid	Malaria	Dr. Haydens	17	2
5	Bill Hardy	(691) 654 321	Medicare	Diabetes	Dr. Haydens	43	1
6	Bob Mindy	(691) 143 613	Tricare	Not yet known	Dr. Barkins	33	1
7	Yo Him	(691) 244 587	Medicare	Colitis	Dr. Magenheim	27	3
8	Kim Suhan	(691) 379 788	Tricare	Pneumonia	Dr. Barkins	37	1

- Each row is a selected **set of data**
- Every row has the same structure

Databases – storing information

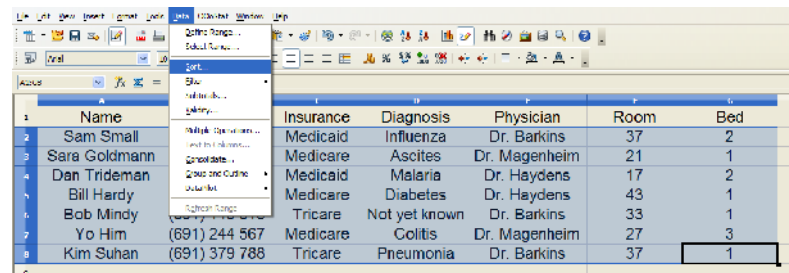


	A	B	C	D	E	F	G
	Name	Telephone	Insurance	Diagnosis	Physician	Room	Bed
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6	Yo Him	(691) 244 567	Medicare	Colitis	Dr. Magenheimer	27	3
7	Kim Suhan	(691) 379 788	Tricare	Pneumonia	Dr. Barkins	37	1

Column: data type

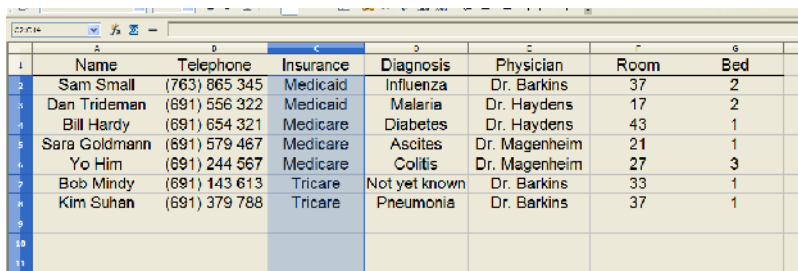
Databases – manipulating information

Sorting data



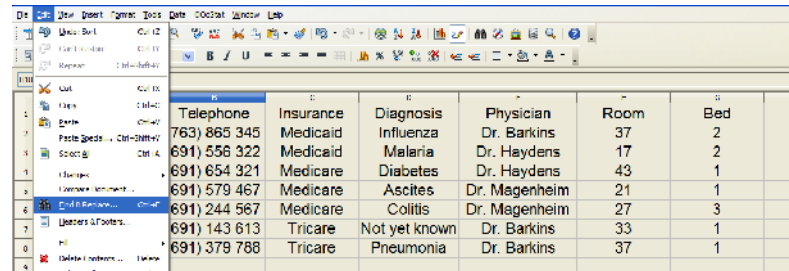
	A	B	C	D	E	F	G
	Name	Telephone	Insurance	Diagnosis	Physician	Room	Bed
1	Sam Small	(763) 865 345	Medicaid	Influenza	Dr. Barkins	37	2
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3	Dan Trideman	(691) 556 322	Medicaid	Malaria	Dr. Haydens	17	2
4	Bill Hardy	(691) 654 321	Medicare	Diabetes	Dr. Haydens	43	1
5	Bob Mindy	(691) 143 613	Tricare	Not yet known	Dr. Barkins	33	1
6	Yo Him	(691) 244 567	Medicare	Colitis	Dr. Magenheimer	27	3
7	Kim Suhan	(691) 379 788	Tricare	Pneumonia	Dr. Barkins	37	1

Databases – manipulating information



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	Name	Telephone	Insurance	Diagnosis	Physician	Room	Bed
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2	Dan Trideman	(691) 556 322	Medicaid	Malaria	Dr. Haydens	17	2
3	Bill Hardy	(691) 654 321	Medicare	Diabetes	Dr. Haydens	43	1
4	Sara Goldmann	(691) 579 467	Medicare	Ascites	Dr. Magenheimer	21	1
5	Yo Him	(691) 244 567	Medicare	Colitis	Dr. Magenheimer	27	3
6	Bob Mindy	(691) 143 613	Tricare	Not yet known	Dr. Barkins	33	1
7	Kim Suhan	(691) 379 788	Tricare	Pneumonia	Dr. Barkins	37	1

Databases – retrieving information



	A	B	C	D	E	F	G
	Name	Telephone	Insurance	Diagnosis	Physician	Room	Bed
1	Sam Small	(763) 865 345	Medicaid	Influenza	Dr. Barkins	37	2
2	Dan Trideman	(691) 556 322	Medicaid	Malaria	Dr. Haydens	17	2
3	Bill Hardy	(691) 654 321	Medicare	Diabetes	Dr. Haydens	43	1
4	Sara Goldmann	(691) 579 467	Medicare	Ascites	Dr. Magenheimer	21	1
5	Yo Him	(691) 244 567	Medicare	Colitis	Dr. Magenheimer	27	3
6	Bob Mindy	(691) 143 613	Tricare	Not yet known	Dr. Barkins	33	1
7	Kim Suhan	(691) 379 788	Tricare	Pneumonia	Dr. Barkins	37	1

Databases – problems with simple methods

	a	c	e	e		c			
	Name	Telephone	Insurance	Disease	Physician	Medication	Medication	Room	Bed
1	Sam Small	(763) 865 345	Medicaid	Influenza	Dr. Barkins	Aspirin		37	2
2	Dan Trideman	(691) 556 322	Medicaid	Malaria	Dr. Haydens	Halofarbrine		17	2
3	Dill Hardy	(691) 654 321	Medicare	Diabetes	Dr. Haydens	Insulin		43	1
4	Sara Goldmann	(691) 579 467	Medicare	Ascites	Dr. Magenheim	Triamterene	spironolactone	21	1
5	Yo Him	(691) 244 587	Medicaid	Colitis	Dr. Magenheim	sulfasalazine		27	3
6	Bob Mindy	(691) 143 613	Tricare	Not yet known	Dr. Barkins			33	1
7	Kim Suhan	(691) 3/9 /88	Tricare	Pneumonia	Dr. Barkins	Aspryn	Augmentin	3/	1

Records do not have the same size

Waste of space
Adding new data types tedious
Inconsistency : is a field empty by error?

Databases – problems with simple methods

	A	B	C	D	E	F	G	H	I
1	Name	Telephone	Insurance	Diagnosis	Physician	Medication	Medication	Room	Bed
2	Sam Small	(763) 865 316	Medicaid	Influenza	Dr. Barkins	Aspiryn		37	2
3	Dan Tideman	(391) 556 322	Medicaid	Malania	Dr. Hayden	Halofantrine		17	2
4	Elli Hardy	(391) 654 467	Medicaid	Diabetes	Dr. Hayden	Insulin		17	2
5	Sara Goldberg	(391) 579 367	Medicare	Asclef	Dr. Magenheim	tiarmerone	spironolactone	43	1
6	Yo Him	(391) 244 567	Medicare	Colitis	Dr. Magenheim	sulfasalazine		27	3
7	Bob Mindy	(391) 433 613	Tricare	Not yet known	Dr. Barkins			37	1
8	Kim Suhan	(391) 379 768	Tricare	Pneumonia	Dr. Barkins	Aspiryn	Augmentin	37	1

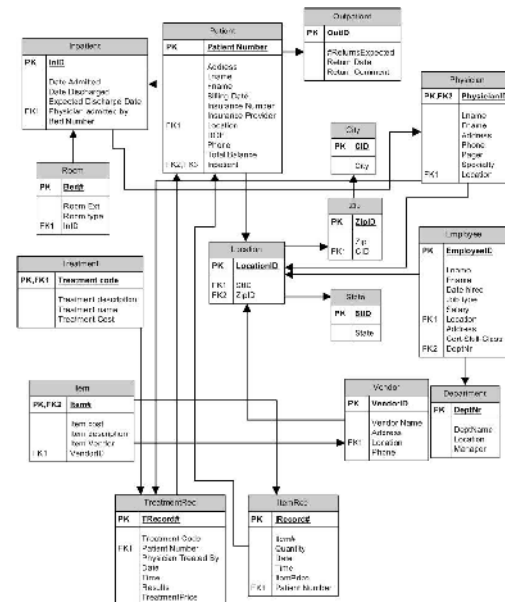
Entering the same data multiple times:

- Typo
- Redundancy
- Later change almost impossible – too many items
- ...

Databases – SQL



Databases

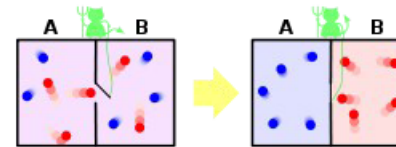


Extension material: information entropy and physical entropy

Information entropy and physical entropy

„in an isolated system, entropy never decreases.“ Second Law of Thermodynamics

The Maxwell demon

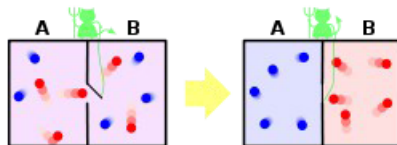


Temperature of A **decreases**, B **increases** → Violation of the Second Law ?

Information entropy and physical entropy

„in an isolated system, entropy never decreases.“ Second Law of Thermodynamics

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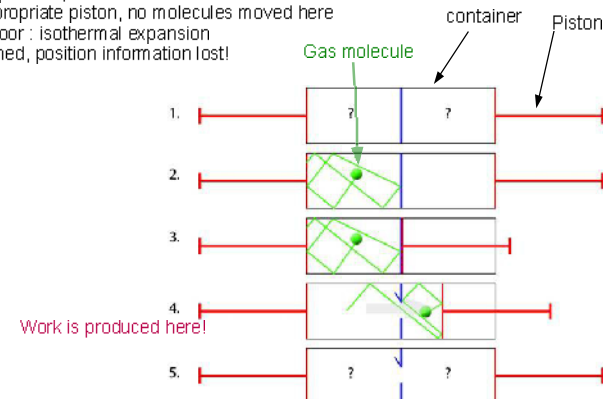


Temperature of A **decreases**, B **increases** → Violation of Law II. ?

Solution: NO, since the demon interacts with the system, it must be considered.
The demon acquires **information**, and this changes its state!

Information entropy and physical entropy

1. : molecule's position unknown
2. : measure position, information = 1 bit
3. : move appropriate piston, no molecules moved here
4. : release door ; isothermal expansion
5. : door opened, position information lost!



Information entropy and physical entropy

- 1.: molecule's position unknown
- 2.: measure position, information = 1 bit
- 3.: move appropriate piston, no molecules moved here
- 4.: **release door : isothermal expansion**
- 5.: door opened, position information lost!

Isothermal expansion:

$$W_{A \rightarrow B} = NkT \ln \left(\frac{V_A}{V_B} \right)$$

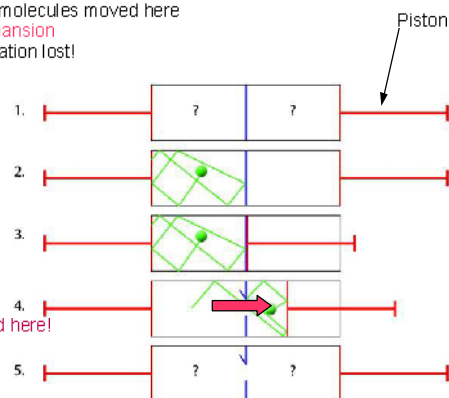
In this case:

$N=1$

$$\frac{V_A}{V_B} = 2$$

Hence

$$W = kT \ln(2) \quad \text{Work is produced here!}$$



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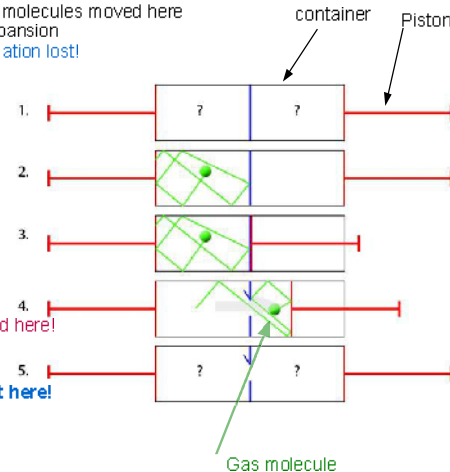
$N=1$

$$\frac{V_A}{V_B} = 2$$

Hence

$$W = kT \ln(2) \quad \text{Work is produced here!}$$

Information is lost here!



Information entropy and physical entropy

Leo Szilárd:

From Law II. taking into account that $W = T\Delta S$

$$W_{\text{produced by piston}} = W_{\text{loss of information}}$$

$$T\Delta S_{\text{inf}} = kT \ln 2$$

$$\Delta S_{\text{1 bit}} = k \ln 2$$

Erasing 1 bit of information increases physical entropy by $k \ln 2$

(Landauer 1971, logically irreversible processes, eg. AND-gate)

