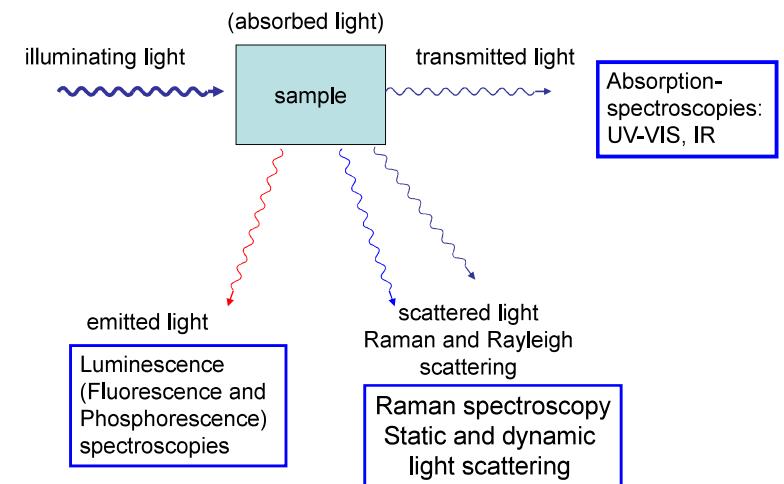


# Optical spectroscopic techniques

László Smeller

What happens if a sample is illuminated by light?



## Spectroscopy (Absorption and emission spectroscopy)

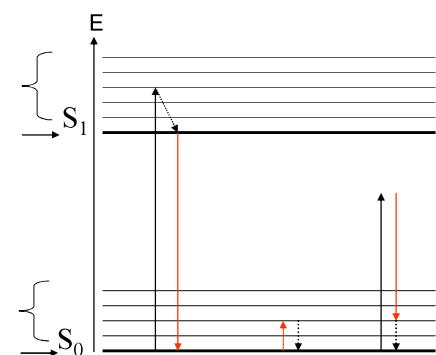
- Analysis of the wavelength dependence of the transmitted or emitted light.
- Information:
  - identification of atoms and molecules,
  - detection of changes in the molecular structure (conformation)
  - determination of the concentration

Why is light absorbed or emitted?

Jablonski diagram

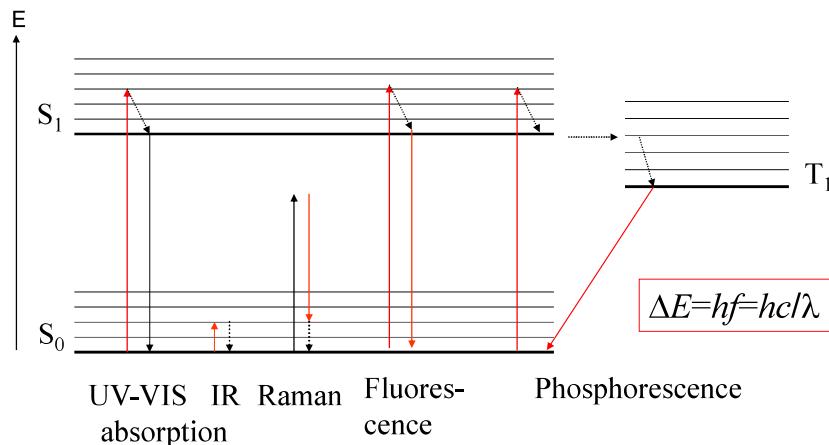
Excited electron and excited vibrational state\*  
Excited electron state

Vibrationally excited state.\*  
Ground state



\*only for molecules! (not for atoms)

# Why is light absorbed or emitted?



## Absorption spectroscopy (UV-VIS)

As a reminder:

- law of absorption:  $J=J_0 \cdot e^{-\mu x}$  where  $\mu$ (material,c,λ)
- Lambert-Beer law:  
$$A = \lg \frac{J_0}{J} = \varepsilon(\lambda)cx$$
- spectrum:  $A(\lambda)$
- measurement: spectrophotometer  
(details: see pract. exc.)
- reference solution ( $J_0$ )
- information: identification ( $\lambda_{\max}$ ), concentration (A)

## Infrared spectroscopy

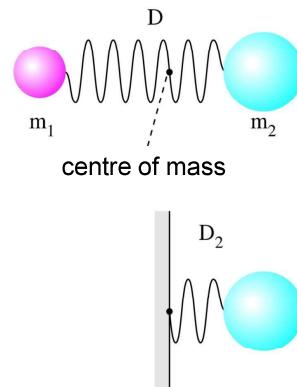
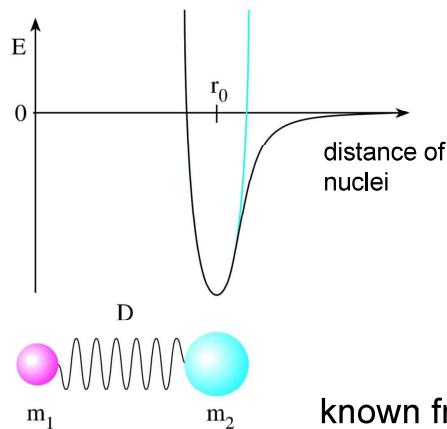
- Infrared light:  $\lambda=800 \text{ nm} - 1 \text{ mm}$   
MIR (mid-infrared) :  $2,5-50 \text{ } \mu\text{m}$
- absorption spectroscopy
- the absorbed infrared radiation excites molecular vibrations
- very specific for the structure of the molecule
- special method for detection:  
FT spectrometer

## Molecular vibrations

The electrons are light ( $m_e \ll m_{\text{nucleus}}$ ), they can follow the movements of the nuclei easily, therefore the movements of the nuclei are independent of the movements of the electrons.

Classical physical description: the chemical bond is represented by a spring

## Molecular vibrations:



known from elementary mechanics:

$$f = \frac{1}{2\pi} \sqrt{\frac{D_2}{m_2}}$$

The wavelength:  $\lambda = \frac{c}{f} = 2\pi c \sqrt{\frac{m_{red}}{D}}$

In the IR spectroscopy the wavenumber ( $\nu$ ) is used, which is the reciprocal of  $\lambda$ :

$$\nu = \frac{1}{\lambda} = \frac{1}{2\pi c} \sqrt{\frac{D}{m_{red}}}$$

$\nu$ : number of waves in a unit length [ $\text{cm}^{-1}$ ]

Example: CO

The measured wavenumber:  $\nu = 2143 \text{ cm}^{-1}$

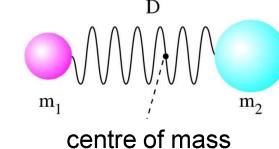
$\Rightarrow \lambda = 4,67 \mu\text{m} \Rightarrow f = 6,43 \cdot 10^{13} \text{ Hz}$

$m_C = 2 \cdot 10^{-26} \text{ kg}, m_O = 2,7 \cdot 10^{-26} \text{ kg}$

$\left. \Rightarrow D = 1875 \text{ N/m} \right\}$

if  $\nu$  is known,  $D$  can be calculated  
if  $D$  is known,  $\nu$  can be calculated

Reduced mass:  $m_{red} = \frac{m_1 m_2}{m_1 + m_2}$



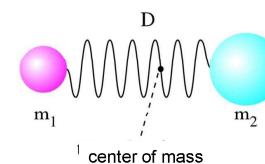
Frequency of the vibration with the reduced mass:

$$f = \frac{1}{2\pi} \sqrt{\frac{D}{m_{red}}}$$

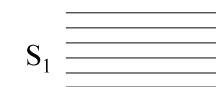
$$f = \frac{1}{2\pi} \sqrt{\frac{D(m_1 + m_2)}{m_1 m_2}}$$

## Classical vs. quantum physics

Classical physical picture

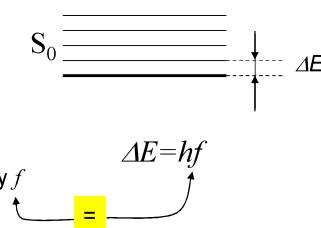


Quantum mechanical picture



$$f = \frac{1}{2\pi} \sqrt{\frac{D}{m_{red}}}$$

resonance with the light with frequency  $f$



## Vibrations of the large molecules

Molecule consisting of N atoms:

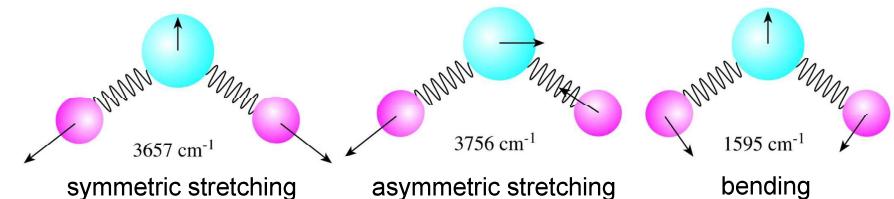
- $3N$  degree of freedom,  
3-3 are the rotations and translations  
of the whole molecule
- $3N-6$  vibrational degree of freedom  
( $3N-5$  for the linear molecules)
- $3N-6$  independent normal vibrations

## Normal vibrations

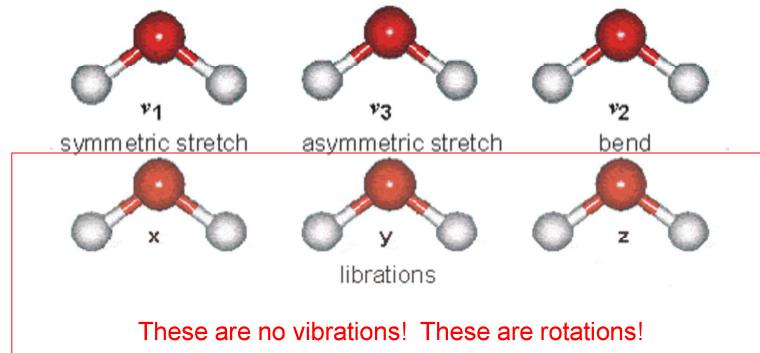
All the atoms vibrate

- with the same frequency but
- with different amplitude and
- in different direction.

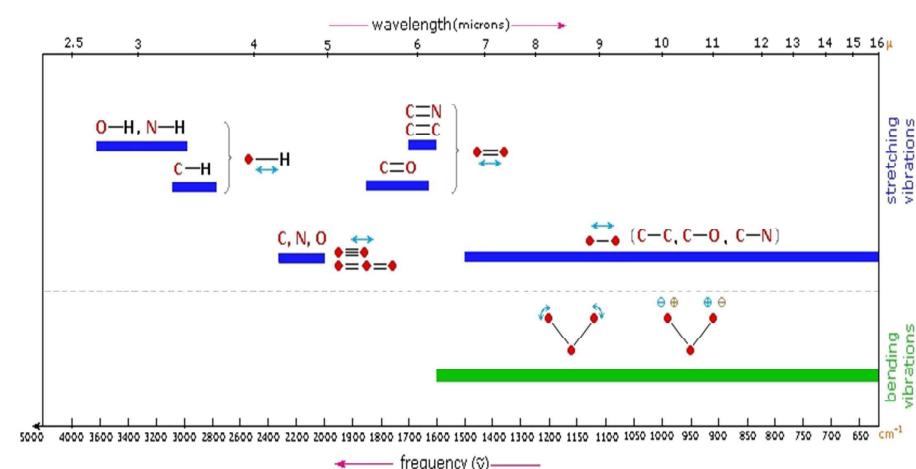
Example: water



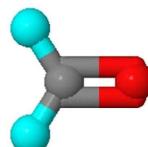
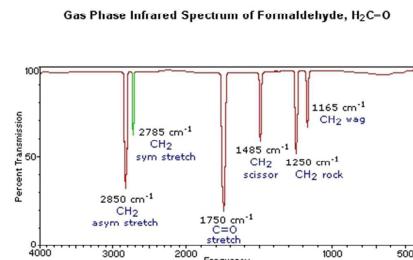
## Normal vibrations of water



## Typical vibrational frequencies (wavenumbers)



# Example: Formaldehyde



- View CH<sub>2</sub> Asymmetric Stretch
- View CH<sub>2</sub> Symmetric Stretch
- View C=O Stretch
- View CH<sub>2</sub> Scissoring
- View CH<sub>2</sub> Rocking
- View CH<sub>2</sub> Wagging

- Ball&Stick Model
- Spacefill Model
- Stick Model
- Motion Off

<http://www2.chemistry.msu.edu/faculty/reusch/VirtTxtJml/Spectrpy/lnfraRed/infrared.htm>  
See also: <https://www.youtube.com/watch?v=TmYXML3PenM>

For further examples of molecular vibrations see:

- Water:

<https://www.youtube.com/watch?v=1uE2lvVkJW0>

- CO<sub>2</sub>:

<https://www.youtube.com/watch?v=W5gimZIFY6I>

- Ammonia:

<https://www.youtube.com/watch?v=aSiJ2bt1jwQ>

- Ethanol:

<https://www.youtube.com/watch?v=O5duIWd-OnQ>

- Benzene:

<https://www.youtube.com/watch?v=NA9etutSt7A>

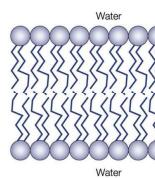
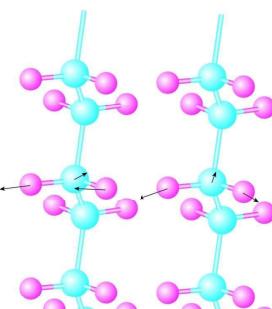
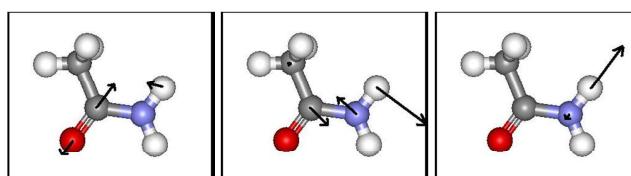
- ...

## Vibrations of the macromolecules

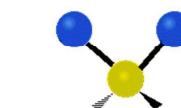
Complex global vibrations

Localised vibrations, e.g.:

- CH<sub>2</sub> vibrations of the lipids
- amid vibrations of proteins (acetamide)



## Lipids



Symmetric Stretch



Asymmetric Stretch



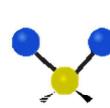
Twisting



Wagging



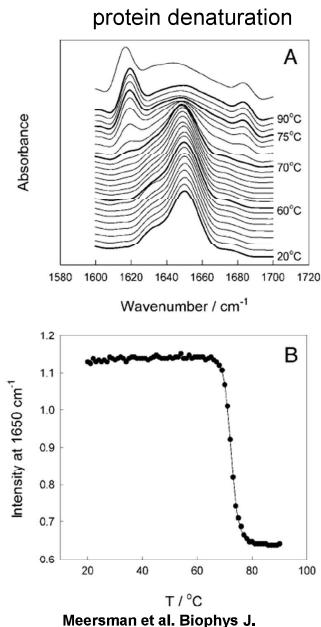
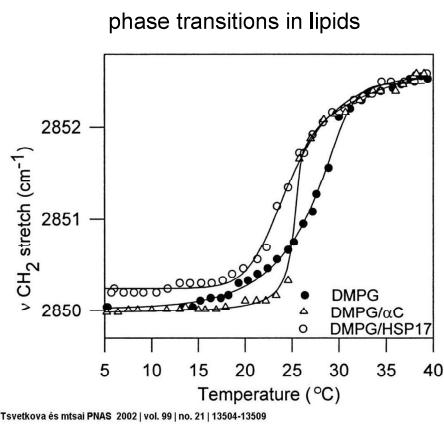
Scissoring



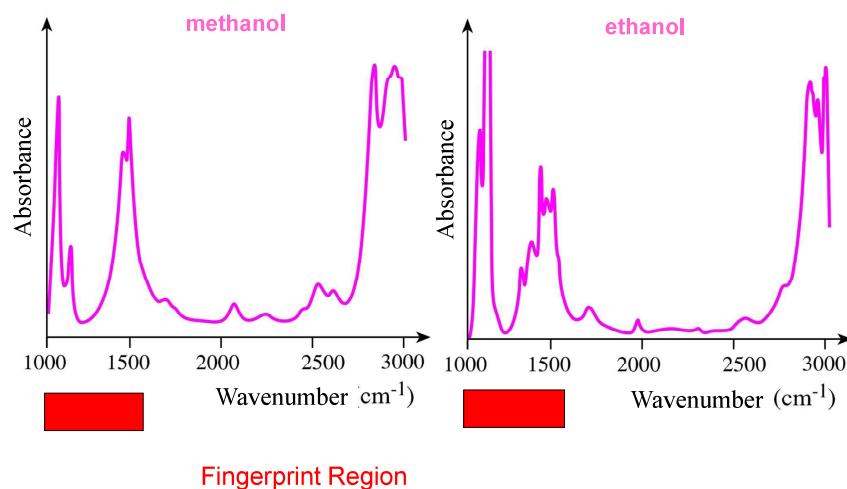
Rocking

Types of Vibrational Modes. Figure from Wikipedia

# Applications



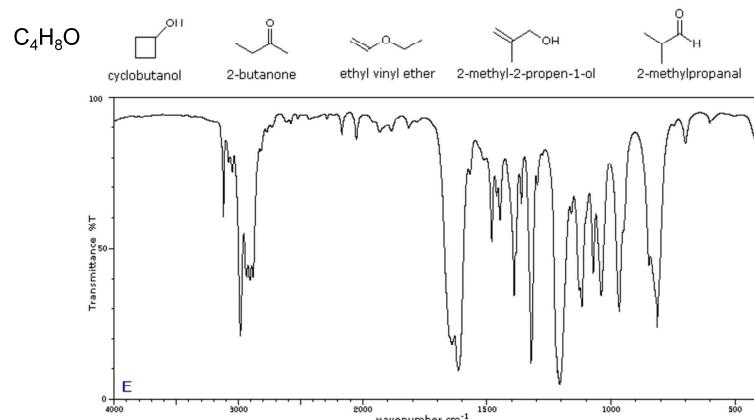
## Identification of the Molecules



## Pharmaceutical applications

- synthesis: identification of the intermediate and the end product
- determination and justification of the molecular structure
- detection of the metabolites
- quality control (purity)
- Remark.: Lambert-Beer law is valid, determination of concentration is possible

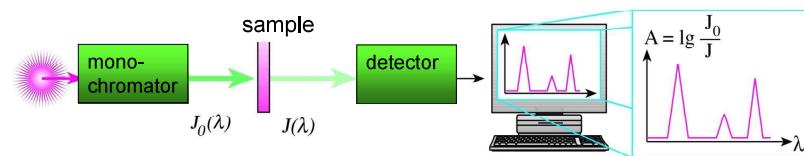
## Example: Identification of molecules



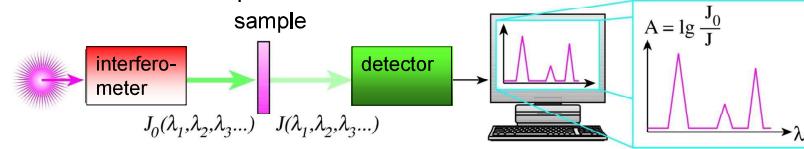
<http://www2.chemistry.msu.edu/faculty/reusch/VirtTxtJml/Spectpy/InfraRed/infrared.htm>

# The technique of the measurement : Fourier transform spectrometer (FTIR)

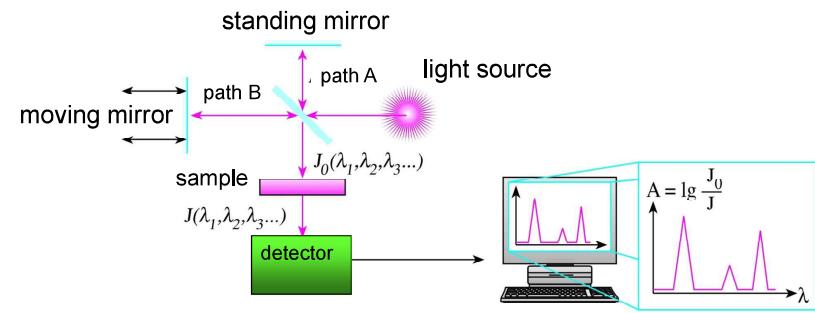
conventional (dispersion) spectrometer



Fourier transform spectrometer

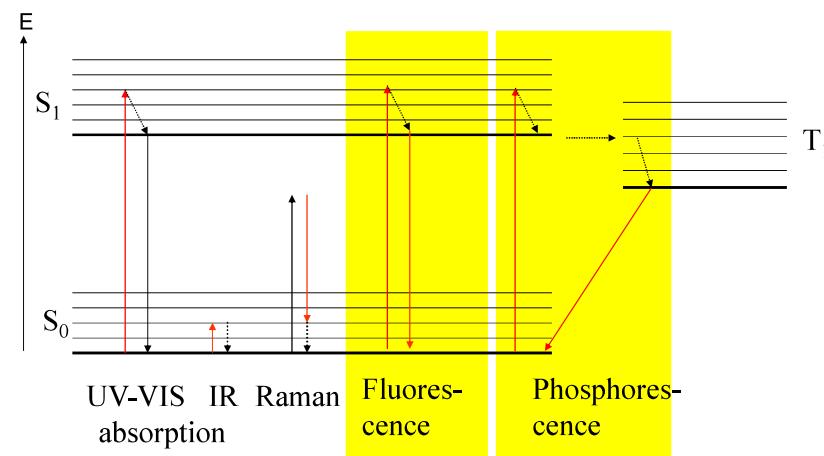


book 6.17



book 6.18

## Luminescence spectroscopy



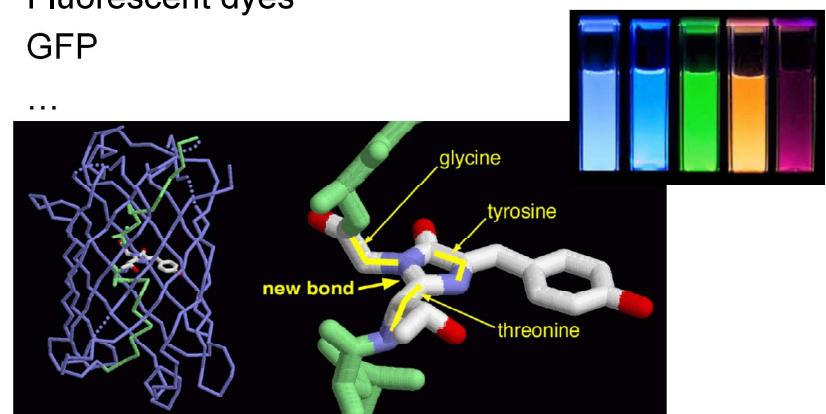
## Which molecules are fluorescent?

Amino acids (tryptophan, tyrosine, phenylalanine)

Fluorescent dyes

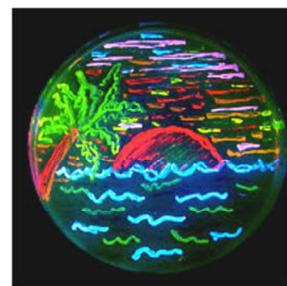
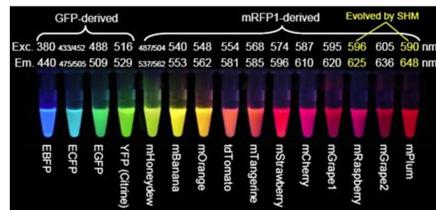
GFP

...





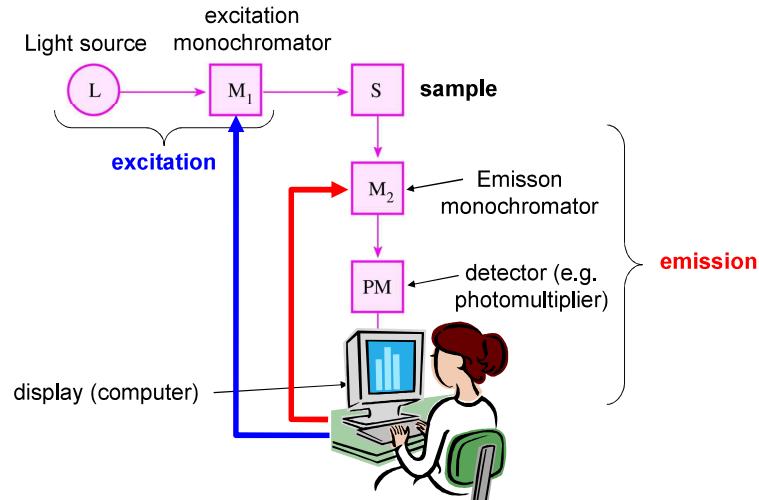
Aequorea victoria



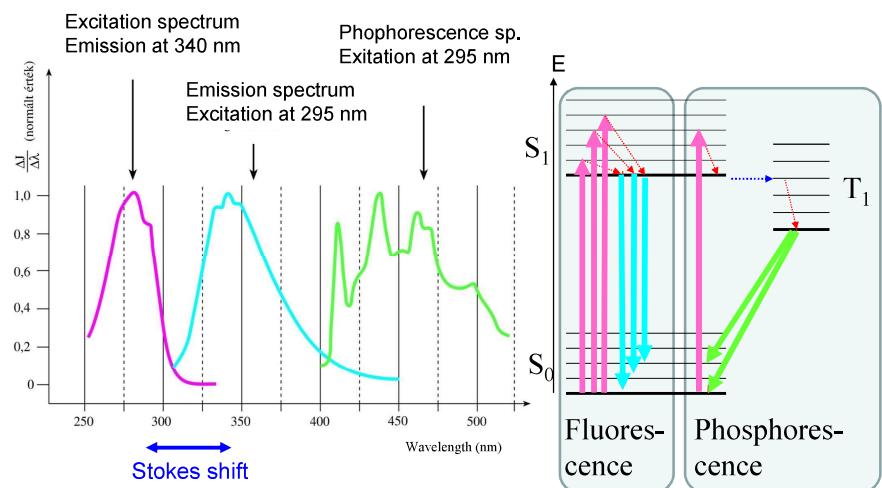
## Measurable quantities in Fluorescence Spectroscopy

- Wavelength of the exciting light
- Wavelength of the emitted light (fluor., phosph.)
- Time dependence of the emitted light
- Polarisation of the emitted light
- Intensity of the emitted light

### Scheme of the fluorescence spectrometer



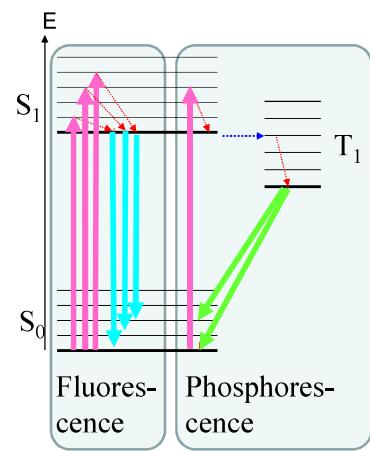
### Excitation and emission spectra



# Kasha's rule

## Kasha's rule:

the excited molecule first reaches the lowest vibrational level and photon emission occurs always from this state to any vibrational level of the ground ( $S_0$ ) state.



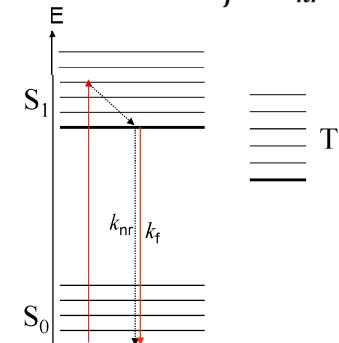
Vibrational relaxation (c.a.  $10^{-12}$  s)

Intersystem crossing

# Fluorescence quantum yield (Q)

## Quantum yield ( $Q_F$ ):

$$Q_F = \frac{k_f}{k_f + k_{nr}} = \frac{\text{number of photons emitted}}{\text{number of photons absorbed}}$$



$k_f$  probability of the transition with light emission (fluoresc.)  
 $k_{nr}$  probability of nonradiating transition

For dyes, fl. markers  $Q \approx 1$

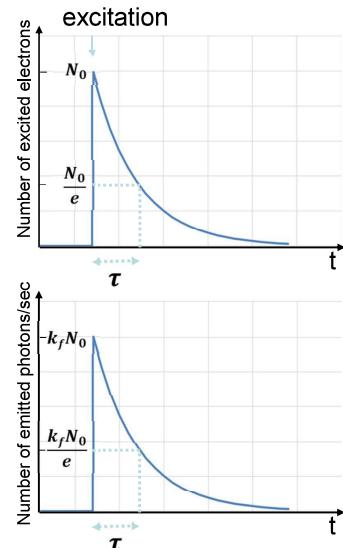
# Luminescence lifetime

$$N = N_0 e^{-\frac{t}{\tau}}$$

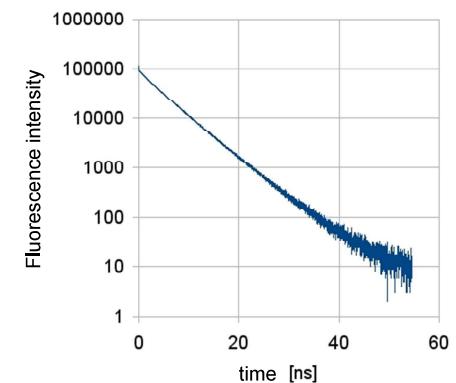
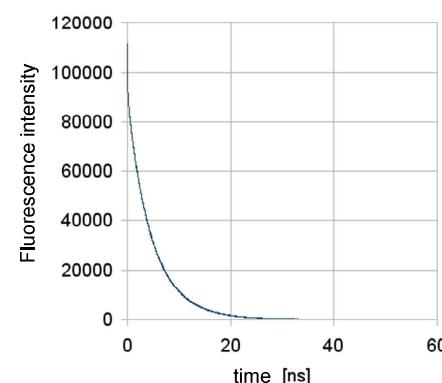
$$\tau = \frac{1}{k_f + k_{nr}}$$

$k_f$ : Rate of photon producing transitions

$k_{nr}$ : Rate of non-radiative transitions

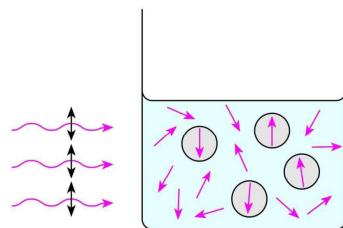


# Example: tryptophan



# Fluorescence polarization

illumination with polarized light



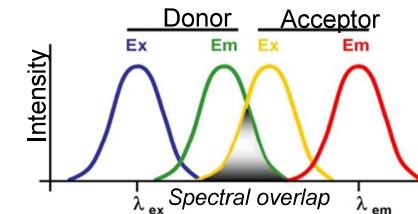
polarization degree of the emitted light is measured  
The fluorescent molecule can rotate between the absorption and the emission  $\Rightarrow$   
dynamic information: rotational correlation time  
(how fast is the rotational diffusion?)

6.28

# FRET

## Förster Resonance Energy Transfer

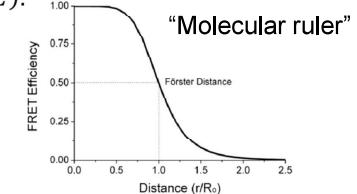
Energy transfers from donor without emission to acceptor in dipole-dipole interactions. Requires spectral overlap between donor emission and acceptor absorption.



FRET efficiency or quantum yield ( $E$ ):

$$E = \frac{1}{1 + (r/r_0)^6}$$

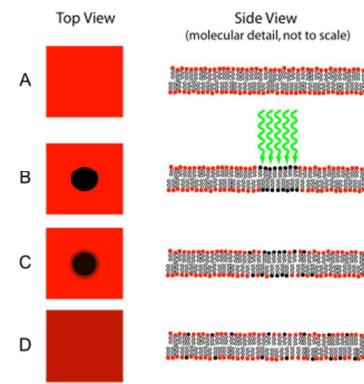
$r_0$ : Förster distance



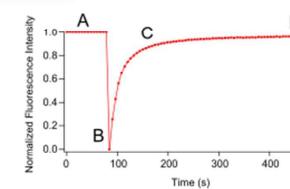
# FRAP

## Fluorescence Recovery After Photobleaching

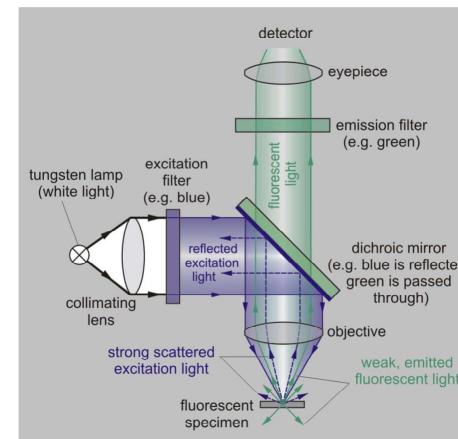
Photobleaching:  
The permanent loss of fluorescence due to photochemical reactions.

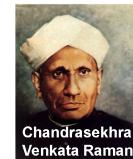
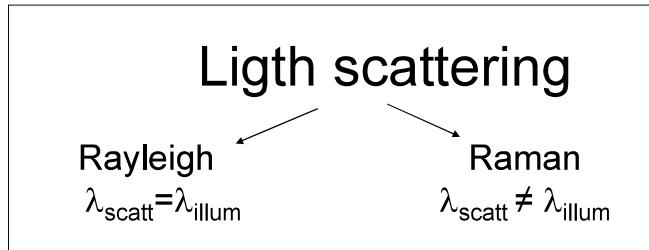


Lateral diffusion of lipid molecules



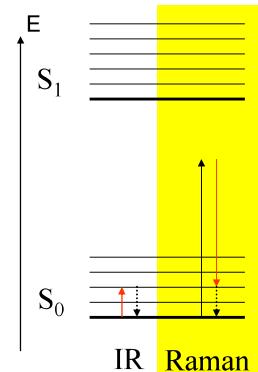
# Fluorescence microscope



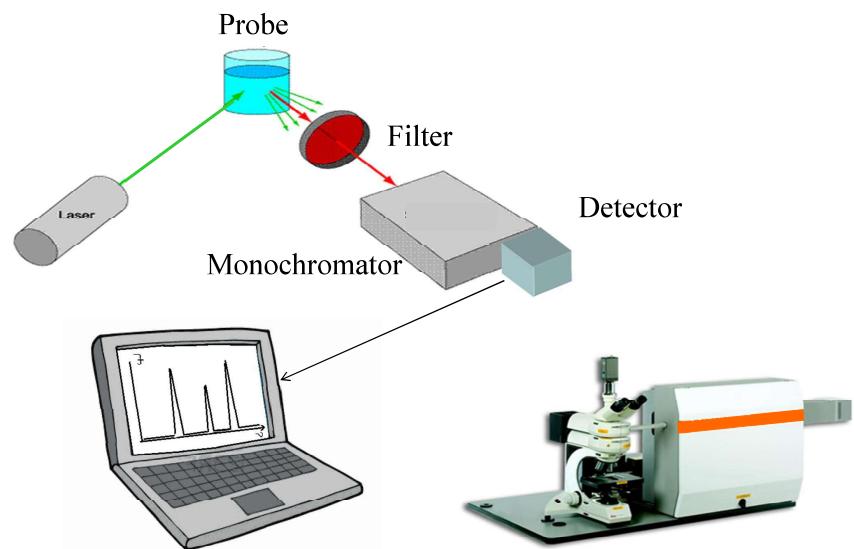


**Raman scattering:**  
 $\lambda_{\text{scatt}} \neq \lambda_{\text{illum}} \Rightarrow f_{\text{scatt}} \neq f_{\text{illum}}$   
 $\Rightarrow E_{\text{photon,scatt}} \neq E_{\text{photon,illum}}$

Where is the energy?  
 Excites vibrations of the molecule (cfr. IR)  
 very weak ( $\sim 10^{-8}$ )



## Raman Spectrometer

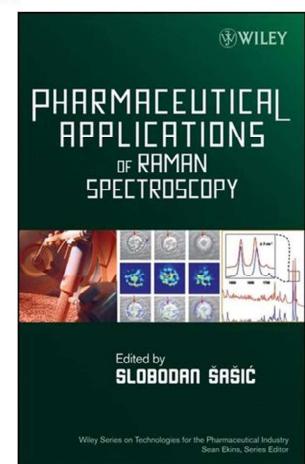
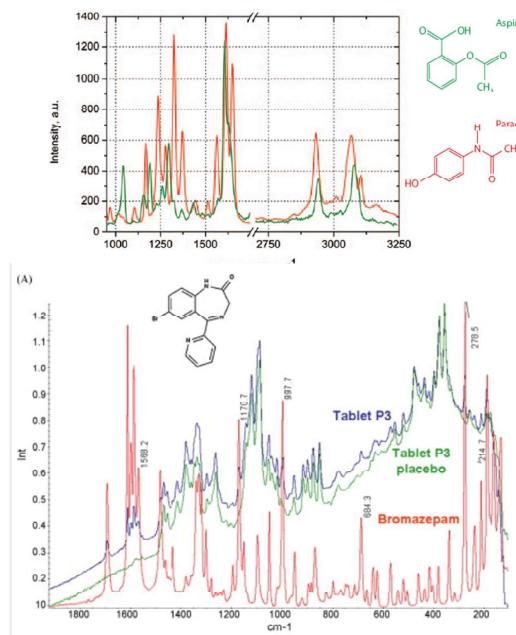
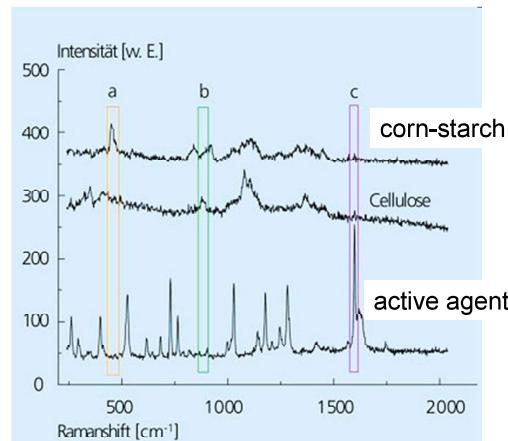


## Raman-Scattering

Vibrations are specific for the molecules

↓  
 Raman Spectroscopy

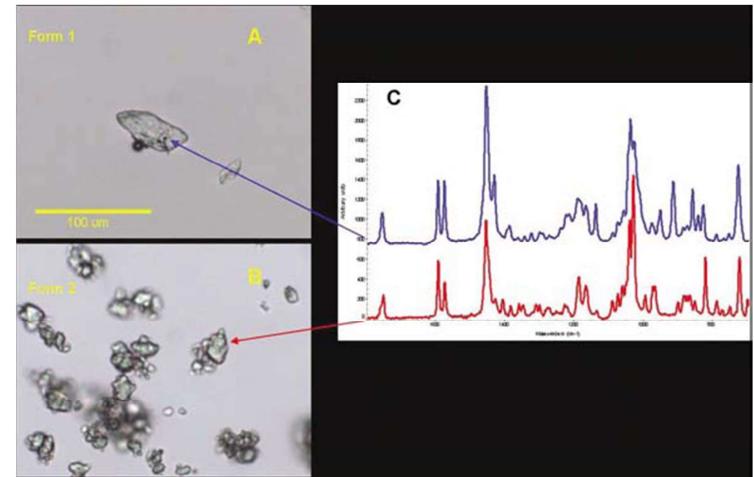
E.g.: active agent content of a tablet



## Handheld Raman spectrometer for identification of material



## Pharmaceutical application



## Rayleigh scattering

Size of the particle:  $a \ll \lambda$

The scattered intensity:

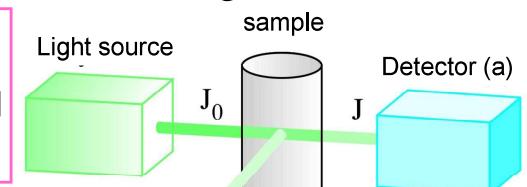
$$J_{\text{scatt}} \sim J_0 N \frac{a^6}{\lambda^4}$$

Information: size, concentration (quantity)  
(e.g. colloids)

## Measurement of the Rayleigh scattering

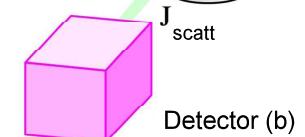
if  $J_{\text{scatt}} \ll J_0$

$J_{\text{scatt}}$  is measured  
(Nephelometry)



If  $J_{\text{scatt}} \approx J_0$

$J$  is measured  
(turbidimetry)



The same technique as for the absorption spectroscopy but now  $J$  is reduced due to the scattering (and not due to absorption).