

# EXPERIMENTAL METHODS TO STUDY BIOLOGICAL STRUCTURES II

LUMINESCENCE, LASER

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## Definitions of luminescence

- Relaxation from excited state followed by light emission
- Radiation emitted by matter in excess of thermal emission
- “Cold light”
- Processes of fluorescence and phosphorescence

## Emission by luminescence: everywhere



Photoluminescence

## Luminescence everywhere

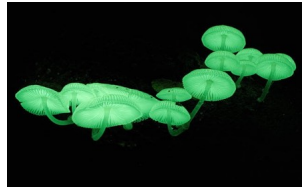


Display lights

Radioluminescence

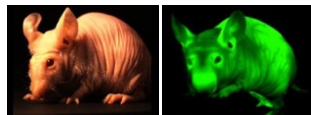
*Aurora borealis*

# Luminescence everywhere

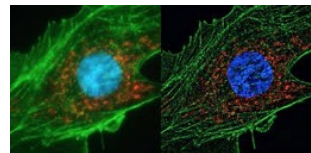


Bioluminescence

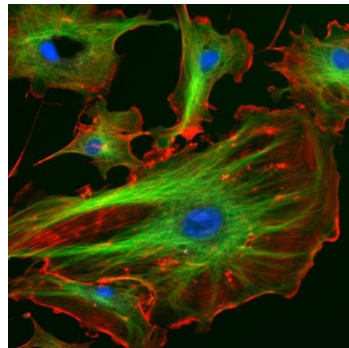
# Luminescence everywhere



GFP-mouse (green nude mouse)



Superresolution microscopy (Nobel-prize 2014)



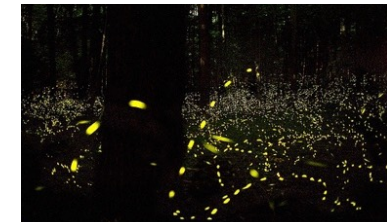
Epifluorescence microscopy (cytoskeletal system)

Fluorescence

# Luminescence everywhere



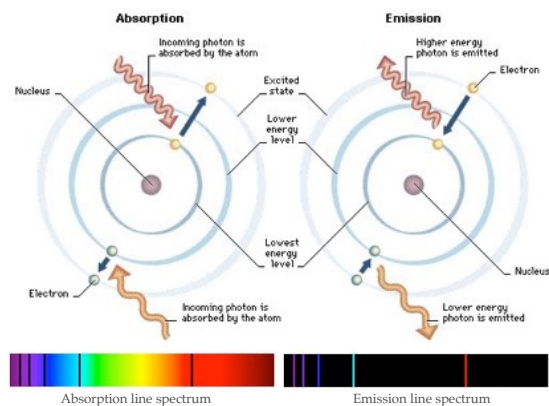
Bioluminescence  
Firefly



# Types of luminescence

(a) Excitation Mode	Luminescence Type
absorption of radiation (UV/VIS)	photoluminescence
chemical reaction	chemiluminescence, bioluminescence
thermally activated ion recombination	thermoluminescence
injection of charge	electroluminescence
high energy particles or radiation	radioluminescence
friction	triboluminescence
sound waves	sonoluminescence
(b) Excited State (Assuming Singlet State)	Luminescence Type
first excited singlet state	fluorescence, delayed fluorescence
lowest triplet state	phosphorescence

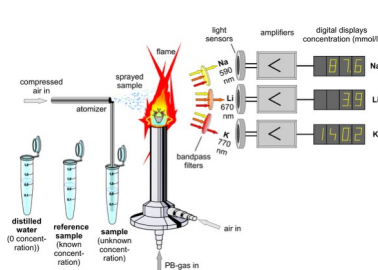
## ABSORPTION AND EMISSION BY AN ATOM



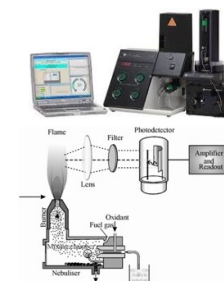
## APPLICATION OF EMISSION SPECTROSCOPY

### Flame photometry

Qualitative and quantitative analysis of alkali metals

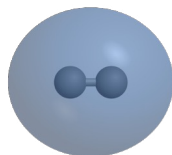


Clinical practice: determination of serum ions ( $\text{Na}^+$ ,  $\text{K}^+$ )



## STATE OF A MOLECULE IS AFFECTED BY ITS MOTIONAL MODES

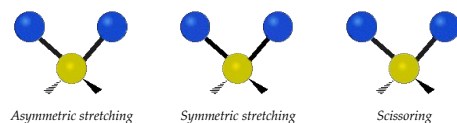
Molecule: atoms connected by chemical bonds  
Simplest case: diatomic molecule (e.g., hydrogen molecule)



Molecules *vibrate* and *rotate*!

*Vibration*: periodic motion *along* the axis of the covalent bond  
*Rotation*: periodic motion *around* the axis of the covalent bond

Examples of vibrational motion in the triatomic methylene group ( $-\text{CH}_2-$ ):



## ENERGY OF A MOLECULE



Max Born  
(1882-1970)



J. Robert Oppenheimer  
(1904-1967)

Born-Oppenheimer approximation:

$$E_{\text{total}} = E_e + E_v + E_r$$

**Important notions:**

- Types of energy states are independent (not coupled)
- Energy states are non-continuous, but discrete
- Transition between states involves packets (quanta) of energy
- Scales of transition energies between different states are different:

$$E_e \stackrel{\sim 100\times}{>} E_v \stackrel{\sim 100\times}{>} E_r$$

$$\sim 3 \times 10^{-19} \text{ J } (\sim 2 \text{ eV}) > \sim 3 \times 10^{-21} \text{ J } > \sim 3 \times 10^{-23} \text{ J }$$

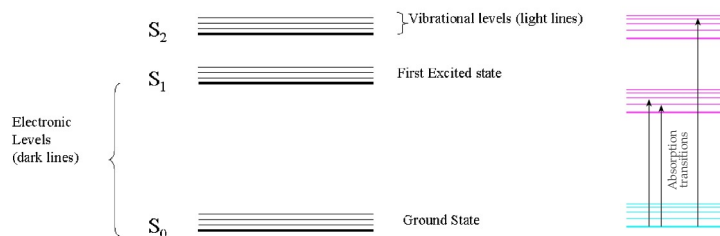
("Rule of thumb": ultraviolet > visible > infrared)

# REPRESENTATION OF ENERGY STATES

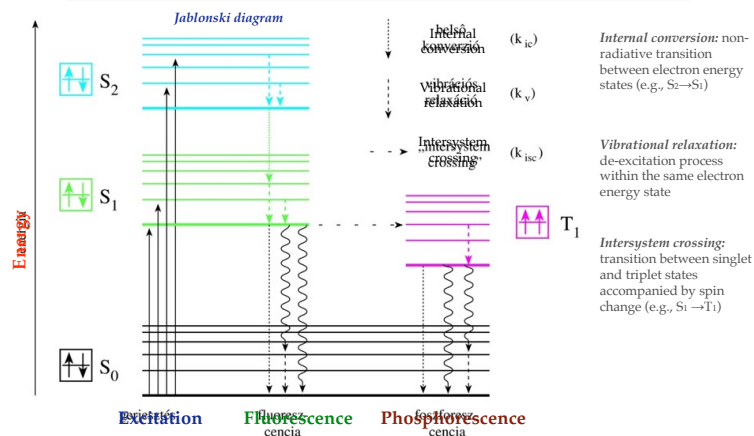
Jablonski diagram: illustrates the electronic states of a molecule and the transitions between them (with arrows)



Alexander Jablonski (1898-1980)



# PROCESSES OF LUMINESCENCE



# SPIN STATES



Wolfgang Pauli (1900-1958)

Pauli's exclusion principle:

- Each quantum state can be occupied by a single electron.
- Within an atom there cannot be two electrons for which all four quantum numbers are identical.



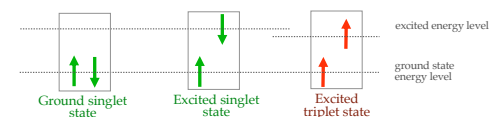
fully occupied subshell: spin pairing (opposite-spin electrons pair)

**Singlet and triplet states:**

number of orientations of magnetic moment associated with net spin state (in magnetic field) =  $2S+1$  = 1 (*singlet*) or 3 (*triplet*). ( $S$  = net spin, e.g., in fully occupied subshell  $(+1/2)+(-1/2) = 0$ )

**S: singlet state:** paired electrons with opposite spins, net spin ( $S$ ) = 0, number of orientations ( $2S+1$ ) = 1.

**T: triplet state:** there are identical spin-state electrons in the molecule, net spin = 1 (e.g.,  $(+1/2)+(+1/2) = 1$ ), number of orientations ( $2S+1 = 2+1$ ) = 3.

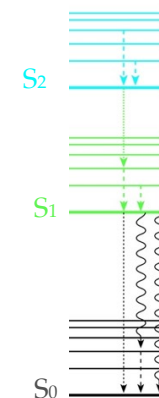


# KASHA'S RULE

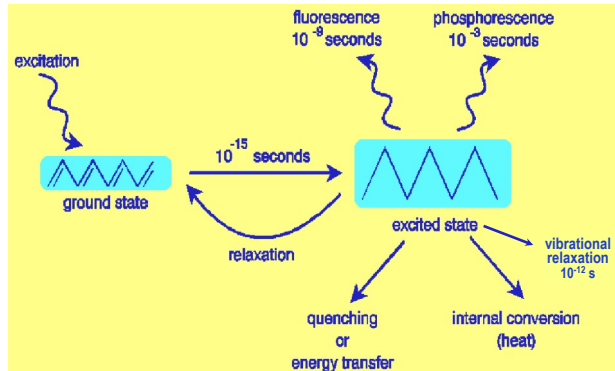
**Photon emission** (fluorescence or phosphorescence) occurs only from the lowest-energy excited electronic state (i.e.,  $S_1$  or  $T_1$ ) of a molecule.



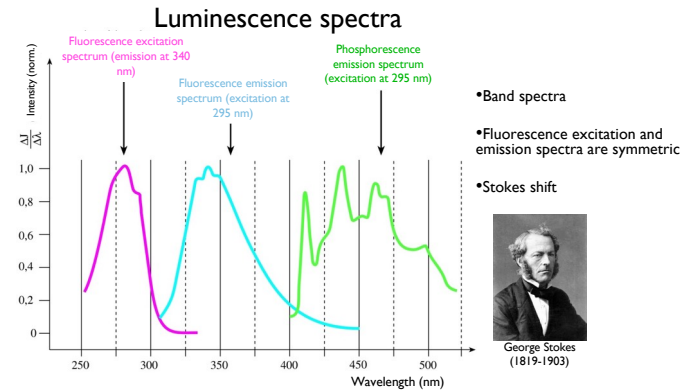
Michael Kasha (1920-) American physicist



## TIME SCALE OF TRANSITIONS



## Characterization of luminescence



Fluorescent dyes: "fluorophores"  
By the specific attachment fluorophores, non-fluorescent molecules may also be studied (fluorescent labeling)

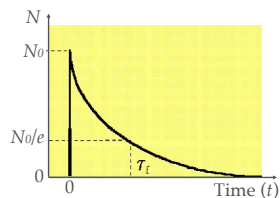
## CHARACTERIZATION OF LUMINESCENCE II.

Quantum yield

$$\Phi = \frac{\text{number of emitted photons}}{\text{number of absorbed photons}} \leq 1 \quad \Phi = \frac{k_f}{k_f + k_{ic} + k_{isc} + k_Q}$$

$k_{nr}$  = non-radiative transition rate constants

Excited-state lifetime ( $\tau$ )



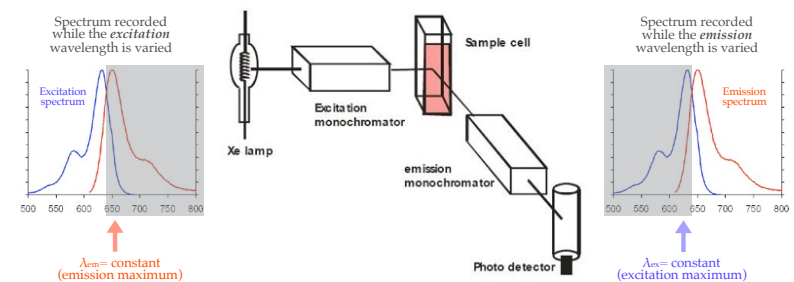
$$N = N_0 e^{-(k_f + k_{nr})t}$$

$$\tau = \frac{1}{k_f + k_{nr}}$$

$N$  = molecules in excited state  
 $t$  = time  
 $k_f$  = fluorescence rate constant  
 $k_{nr}$  = non-radiative transition rate constant  
 $\tau$  = fluorescence lifetime

## MEASUREMENT OF FLUORESCENCE

Fluorescence spectrometer  
("Steady-state" spectrofluorometer)

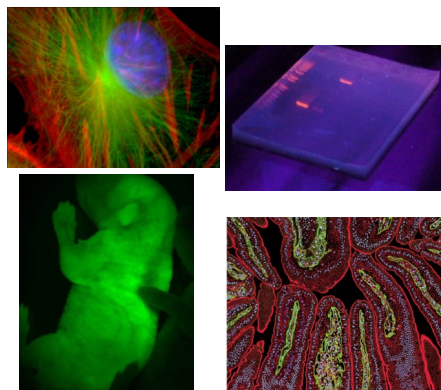




# Biomedical applications of fluorescence

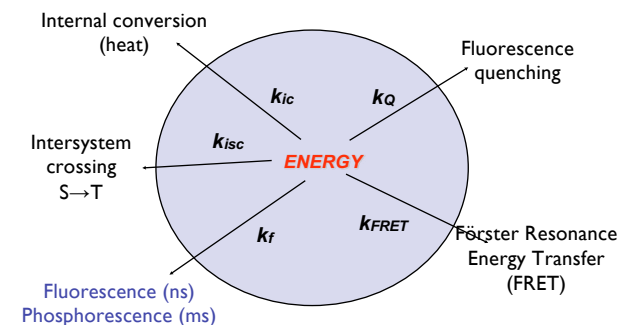
## A few examples:

- # Fluorescence microscopy
- # DNA sequencing (chain termination method)
- # DNA detection (EtBr)
- # DNA microarray
- # Immunofluorescence
- # Fluorescence-activated cell sorting (FACS)
- # Förster resonance energy transfer (FRET)
- # Fluorescence recovery after photobleaching (FRAP)
- # Fluorescent protein conjugation technologies
- # Quantum dots
- # etc...



# Spectroscopic applications

## Fate of absorbed energy



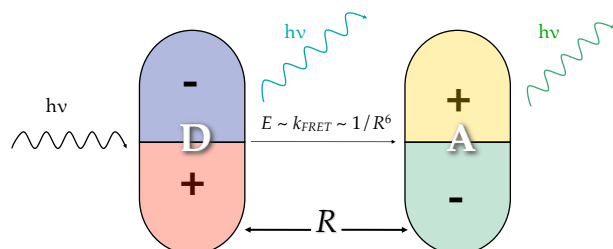
Radiative and non-radiative transitions!

## Förster Resonance Energy Transfer (FRET)

- Occurs by non-radiative dipole-dipole interaction between an excited **donor (D)** and an proper **acceptor (A)** molecule under certain conditions (spectral overlap and close distance).
- **Fluorescence Resonance Energy Transfer (FRET):** if the participants of the transfer are fluorophores.

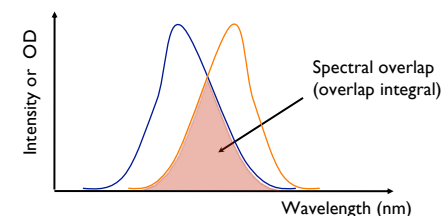


Theodor Förster  
(1910-1974)



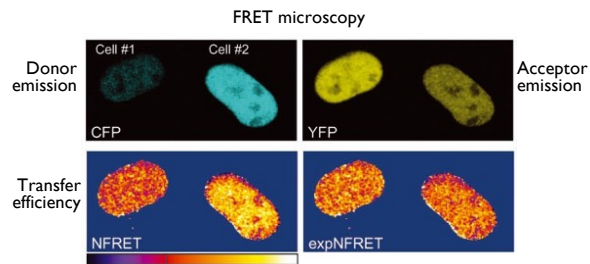
## Conditions of FRET

- **Fluorescent** donor and acceptor molecules.
- The distance (**R**) between donor and acceptor molecules is 2-10 nm!
- **Overlap** between the emission spectrum of the **donor** and the absorption spectrum of the **acceptor**.



# Applications of FRET

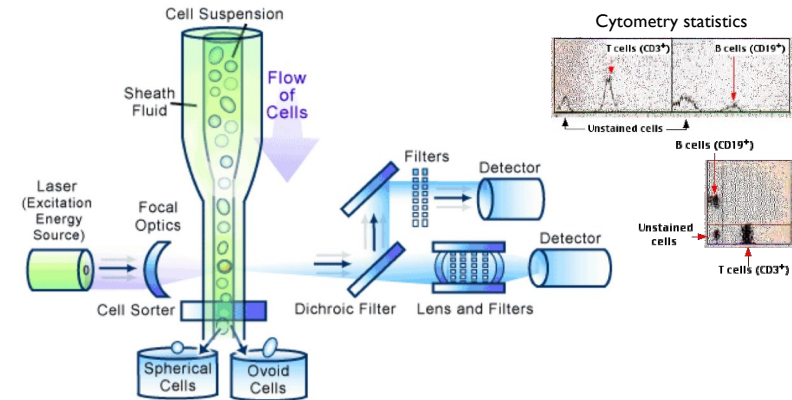
- **Molecular ruler:** distance measurement on the nm ( $10^{-9}$ m) scale.
- High sensitivity (see sixth-power dependency)!
- **Applications:**
  - Measurement of **interactions** between molecules.
  - Measurement of **structural** changes on molecules.



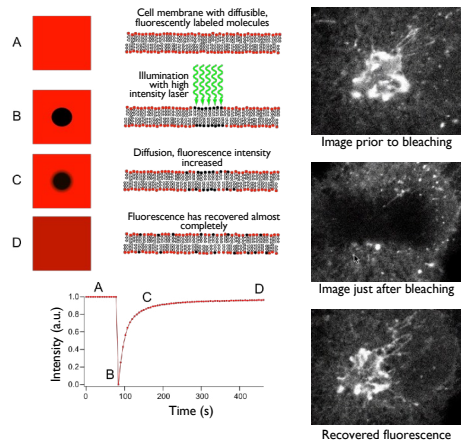
# Fluorescence activated cell sorter (FACS)

## Flow cytometry

- A cell suspension, fluorescently labeled by using specific antibodies, is analyzed cell by cell
- Numerous parameters are measured simultaneously (fluorescence intensity at several wavelengths, small- and large-angle scatter)
- Statistical analysis
- If needed, cells can be separated according to their fluorescence



# Fluorescence Recovery After Photobleaching (FRAP)

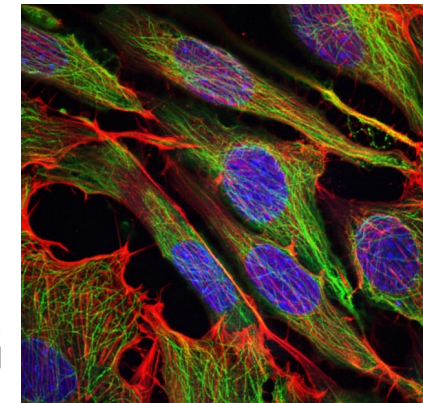
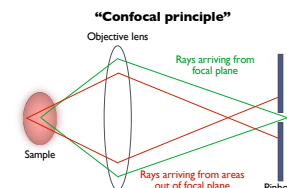
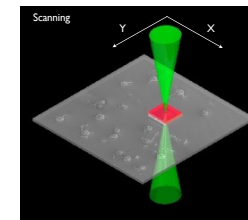


The diffusion coefficient can be determined from the time-dependent recovery of fluorescence as:

$$D = \frac{w^2}{4t_D}$$

D = diffusion coefficient  
w = width of bleached area  
t<sub>D</sub> = time constant

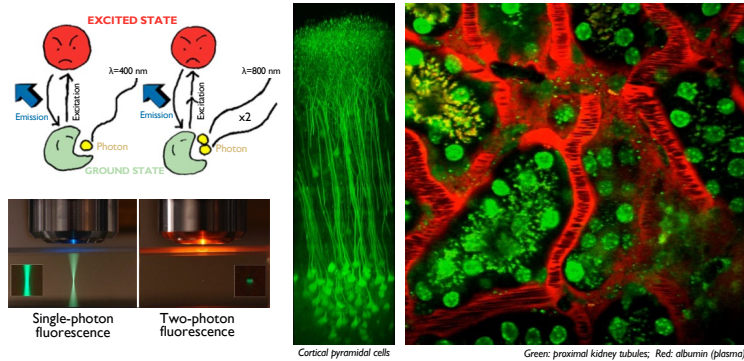
# Laser scanning confocal microscopy



Green: microtubules; Red: actin; Blue: nuclei

# Multiphoton microscopy

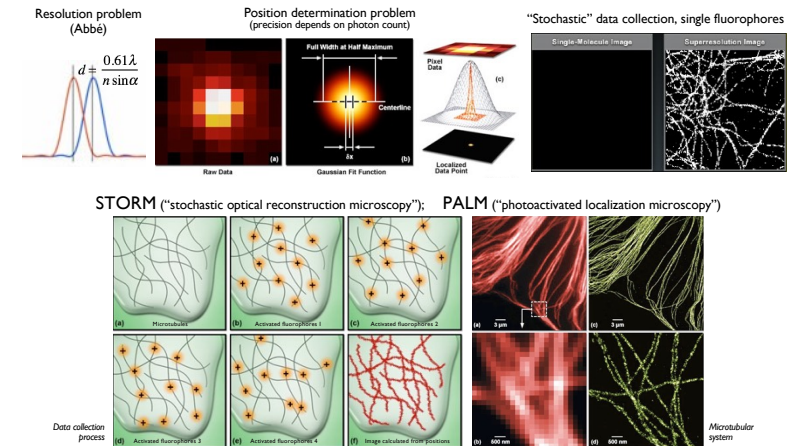
- Energy of two (or more) photons are added during excitation
- Excitation (hence emission) only in the focal point (limited photodamage)
- Excitation with long wavelength (near-IR), short (fs) light pulses
- Large (up to 2 mm) penetration due to long wavelength



# Super-resolution microscopy

Chemistry Nobel-prize, 2014

Resolution problem is converted into position-determination problem

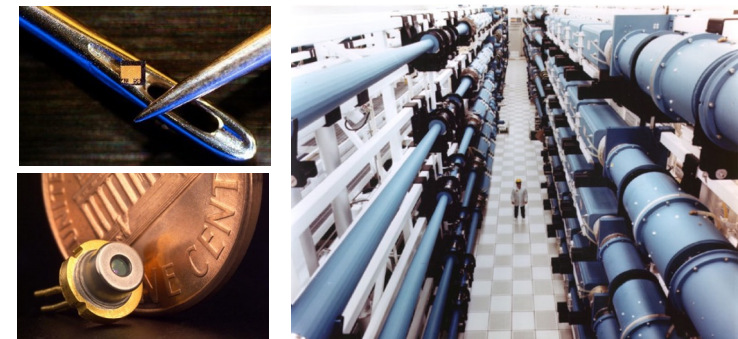


# LASER

## Lasers are everywhere

Laser: "Light Amplification by Stimulated Emission of Radiation"

Luminescent light source based on light amplification.



5 mW diode laser  
few mms

Terawatt NOVA laser - Lawrence Livermore Laboratories  
Size of a football field

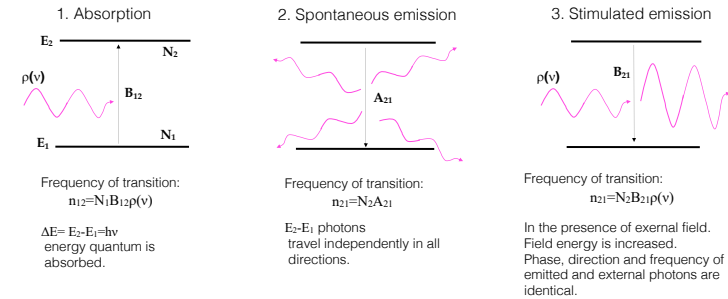


# Laser history in a nutshell



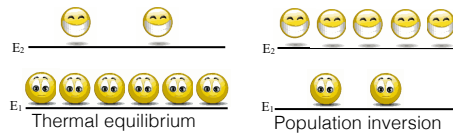
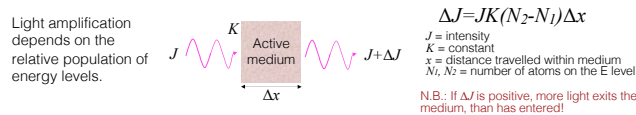
- 1917 - Albert Einstein: theoretical prediction of stimulated emission.
- 1946 - G. Meyer-Schwickerath: first eye surgery with light.
- 1950 - Arthur Schawlow and Charles Townes: emitted photons may be in the visible range.
- 1954 - N.G. Basow, A.M. Prochorow, and C. Townes: ammonia maser
- 1960 - Theodore Maiman: first laser (ruby laser)
- 1964 - Basow, Prochorow, Townes (Nobel-prize): quantum electronics
- 1970 - Arthur Ashkin: laser tweezers
- 1971 - Dénes Gábor (Nobel-prize): holography
- 1997 - S. Chu, W.D. Phillips and C. Cohen-Tanoudji (Nobel-prize): atom cooling with laser.
- 2013, october 8: NIF (National Ignition Facility, USA): launching nuclear fusion with 192 laser beams, positive energy balance.
- 2017 - ELI (Extreme Light Infrastructure), Szeged, Hungary. generation of attosecond ( $10^{-18}$  s) light pulses.
- 2018 - Nobel-prize in physics: Arthur Ashkin (laser tweezers), Gérard Mourou and Donna Strickland (ultrashort laser pulses)

## Principles of laser I. stimulated emission

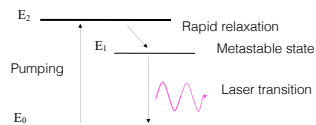


**Explanation:** two-state atomic or molecular system.  
 $E_1, E_2$ : energy levels,  $E_2 > E_1$   
 $\rho(\nu)$ : spectral energy density of external field.  
 $N_1, N_2$ : number of atoms or molecules on the given energy level.  
 $B_{12}, A_{21}, B_{21}$ : transition probabilities (Einstein coefficients),  $B_{12} = B_{21}$

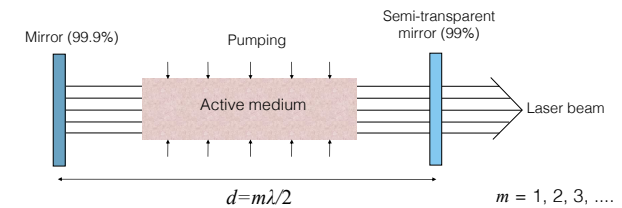
## Principles of laser II. Population inversion



- Population inversion only in multiple-state systems!
- Pumping: electrical, optical, chemical energy



## Principles of laser III. Optical resonance



**Resonator:**

- two parallel (or concave) mirrors
- part of the exiting light is coupled back into the medium
- positive feedback  $\rightarrow$  self-excitation  $\rightarrow$  resonance

• Optical switch in the resonator: Q-switch, pulsed mode

# Properties of laser light

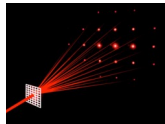
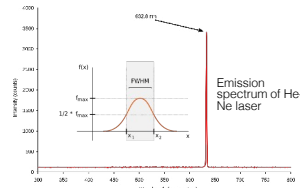
1. Small divergence  
Parallel (collimated) beam
2. Large power  
In continuous (CW) mode, tens, hundreds of W (e.g., CO<sub>2</sub> laser)  
In Q-switched mode, momentary power is enormous (GW)  
Because of small divergence, large spatial power density.

3. Small spectral bandwidth  
"Monochromaticity"  
Large spectral energy density

4. Often polarized

5. Possibility of extremely short pulses  
ps, fs

6. Coherence  
phase identity, interference tendency; temporal coherence (phase identity of photons emitted at different times); spatial coherence (phase identity across beam diameter). Application: holography, optical coherence tomography



# Types of lasers

Based on **active medium**:

## 1. Solid state lasers

Metal doping in crystals or glasses; Ruby, Nd-YAG, Ti-sapphire  
Red-infrared spectral range; CW, Q-switched mode, large power

## 2. Gas lasers

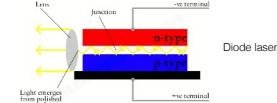
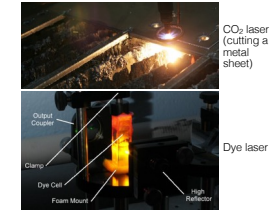
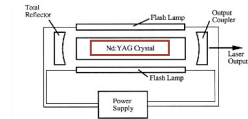
Best known: He-Ne laser (10 He/Ne). Small energy, wide use  
CO<sub>2</sub> laser: CO<sub>2</sub>-N<sub>2</sub>-He mixture;  $\lambda \sim 10 \mu\text{m}$ ; Huge power (100 W)

## 3. Dye lasers

Dilute solution of organic dyes (e.g., rhodamine, coumarine);  
Pumped by another laser.  
Large power (Q-switched mode); Tunable

## 4. Semiconductor (diode) lasers

On the boundary of p- and n-type doped semiconductors.  
No need for resonator mirrors (total internal reflection)  
Red, IR spectral range. Huge CW power (up to 100W)  
Beam characteristics are not very good. Wide use because of small size.



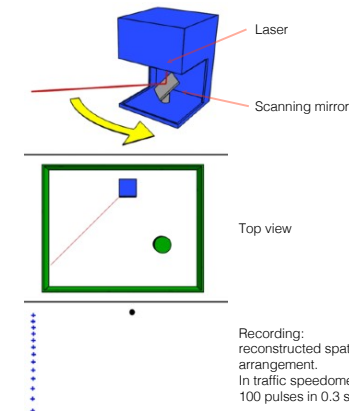
Today: laser lines (wavelengths) are available from X-rays to infrared light!

# Factors to be considered in laser applications

- Steerability (small divergence)
- Monochromaticity
- Coherence
- Possibility of short pulses
- Power:
  - 5 mW – CD-ROM drive
  - 5–10 mW – DVD player or DVD-ROM drive
  - 100 mW – high-speed CD-RW writer
  - 250 mW – DVD-R writer
  - 1–20 W – solid-state laser for micromachining
  - 30–100 W – surgical CO<sub>2</sub> laser
  - 100–3000 W – industrial CO<sub>2</sub> laser (laser cutter)
  - 1 kW – 1 cm diode laser bar

# Speed measurement with laser

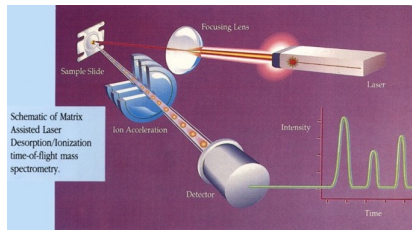
LIDAR: "Light Detection and Ranging"



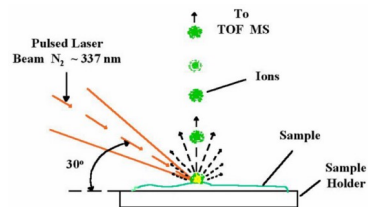
Laser property utilized:  
Steerability

Recording:  
reconstructed spatial  
arrangement.  
In traffic speedometer:  
100 pulses in 0.3 s

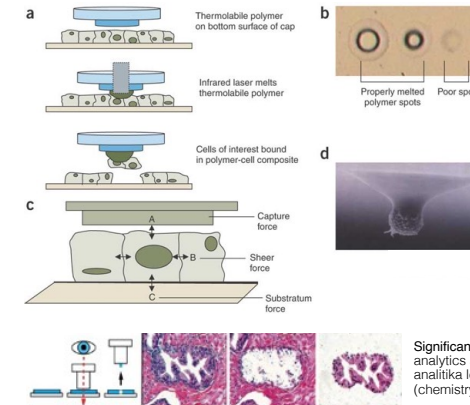
## MALDI-TOF: matrix-assisted laser desorption/ionization time of flight mass spectrometry



Laser property utilized: Power density



## “Laser capture microdissection”



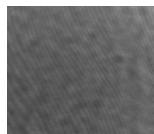
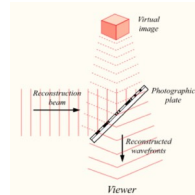
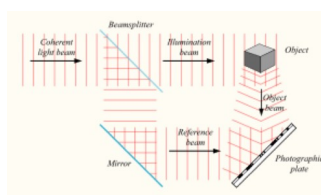
Laser property utilized: Power density, steerability

## Holography

Laser property utilized: Coherence



Dénes Gábor (1900-1979)



Surface of a hologram recording

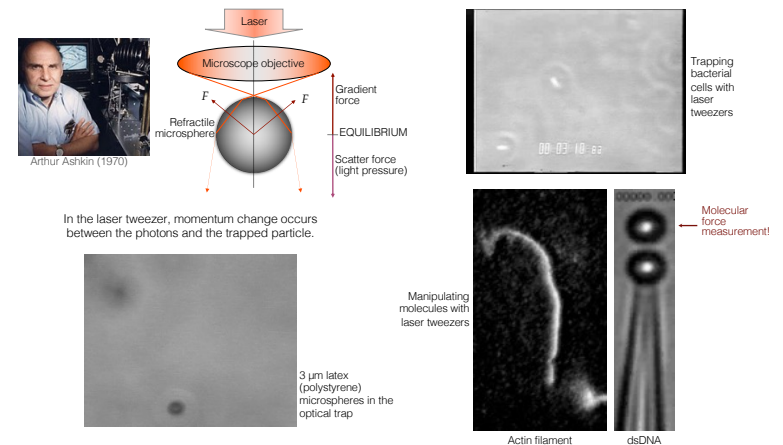


Holograms

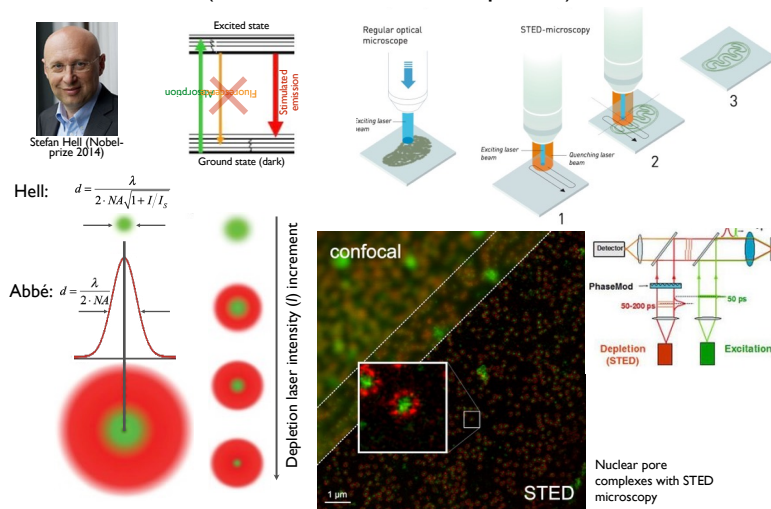


## Laser tweezers

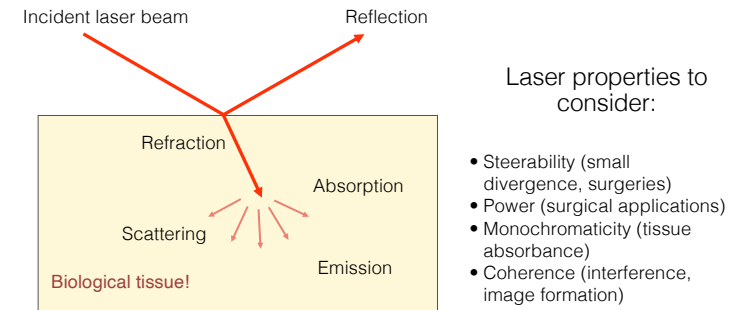
Laser property utilized: power density, steerability



## STED microscopy (STimulated Emission Depletion)



## Medical applications of lasers



The effects depend not only on the properties of the laser, but also on those of the biological tissue: absorbance, transmittivity, light-induced reactions.

## Medical applications of lasers

- **Surgical disciplines:** "laser knife", coagulation, blood-less surgery.
- **Tumor removal, tattoo removal:** CO<sub>2</sub> and Nd:YAG lasers, holmium laser lithotripsy (urology).
- **Dermatology:** wide-spread uses (tattoo removal, naevus removal, etc.).
- **Dentistry:** caries treatment (caries absorbs preferentially).
- **Photodynamic tumor therapy:** laser activation of photosensitive chemicals preferentially taken up by the tumor.
- **Ophthalmology:** Retina lesions, photocoagulation, glaucoma, photorefractive keratectomy (PRK).

## Dermatological applications

### Hair removal

Phototricholysis, photoepilation

Mechanism: selective photothermolysis, selective absorption by chromophores

Employed chromophores:

1. Carbon (exogenous, carbon or graphite-containing creams)
2. Hemoglobin (endogenous)
3. Melanin (endogenous)



Before treatment

After treatment



# Dermatological applications

## Tattoo removal



## Naevus removal



# Dermatological applications

## Removal of superficial blood vessels



## Resurfacing



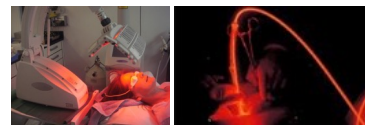
# Oncological applications: Photodynamic therapy

Photodynamic therapy (PDT):  
Roswell Park Cancer Institute 1970's.

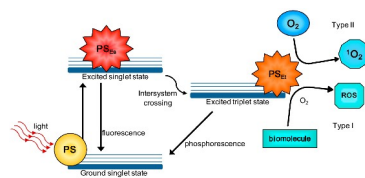
Three-component tumor therapeutic method:  
1. Photosensitizing agent, 2. Light, 3. Oxygen.

## Steps:

1. Administration of photosensitizing agent (aminolevulinic acid, ALA).
2. Incubation for few hours. ALA is transformed into protoporphyrin IX.
3. Illumination of target area with diode laser (few minutes).
4. Protoporphyrin absorbs  $\rightarrow$  excited singlet state  $\rightarrow$  triplet state  $\rightarrow$  energy transfer with triplet oxygen  $\rightarrow$  excited, reactive oxygen  $\rightarrow$  tissue reaction. The illuminated area necrotizes in a few days.

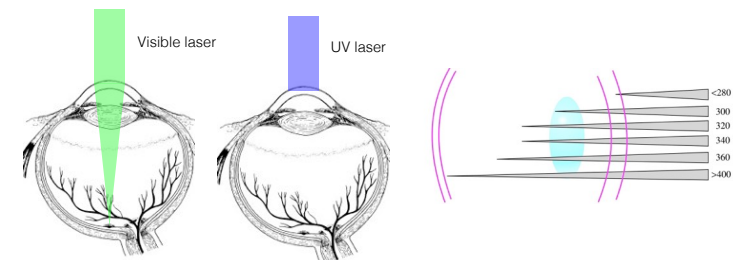


Delivery of light into the patient: surface exposure, optical fiber



# Ophthalmologic applications: Considerations

Transmittivity of optical media is wavelength-dependent



# Ophthalmologic applications

## LASIK

**"Laser-assisted In Situ Keratomileusis"**  
A type of refractive laser eye surgery

### History:

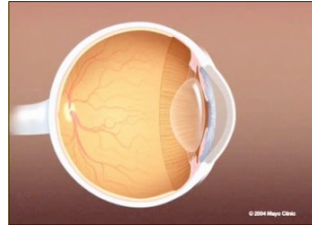
**Jose Barraquer, 1970:** construction of a microkeratome, with which he was able to cut lines and lobes in the cornea with laser (keratomileusis).

**Lucio Buratto (Italian) and Ioannis Pallikaris (Greek), 1990:** combination of keratomileusis photorefractive keratectomy.

**Thomas and Tobias Neuhann (Germany), 1991:** automated microkeratome.

### Steps:

1. Removal of contact lens (7-10 days prior to treatment)
2. Scanning the topography of the cornea with low-power laser.
3. Cutting and lifting a layer of the cornea with femtosecond laser.
4. Removal of material from the corneal stroma (few tens of microns). Excimer laser (193 nm).

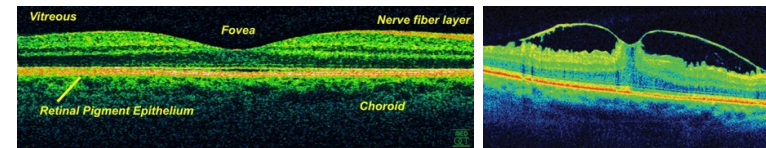
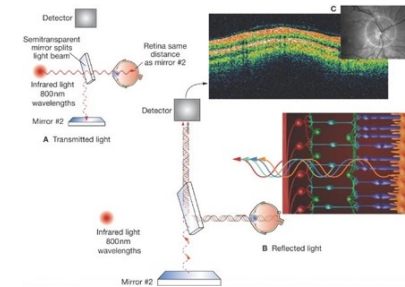


# Ophthalmologic applications

## Optical Coherence Tomography (OCT)

- Non-invasive
- Contrast-agent free
- Near microscopic resolution

**Principles:**  
light rays reflected in deeper tissue layers can be separated from scatter by using **interferometry**. The spatial position of the reflecting layers can be determined. The structure of the illuminated sample can be resolved within 1-2 mm depth.



Normal retina

Macula degeneration